

CS415 Compilers
Syntax Analysis
Top-Down Parsing Review
Introduction to Bottom-up Parsing

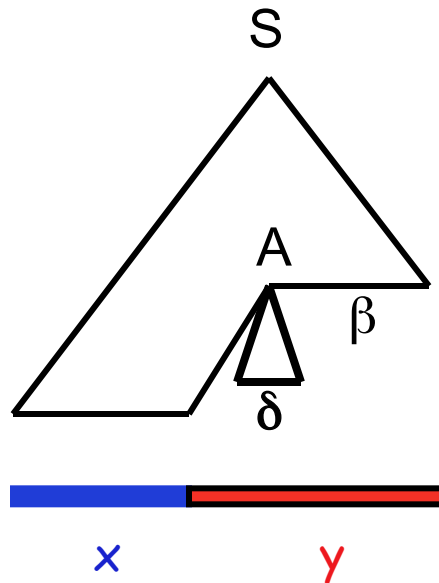
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Keith Cooper, Ken Kennedy & Linda Torczon at Rice
University

- **Midterm on Wednesday, March 8**
 - closed book, closed notes
 - covers everything up to (and including) top-down parsing
 - no recitation on Tuesday, March 8
 - Q&A session second half of this Thursday 3/3 lecture - come prepared!
- Homework set 5 released,
 - last homework before midterm (only one problem)
 - due this Friday 11:59pm EST, no late submission will be accepted.

LL(1), recursive descent

1 input symbol lookahead
 construct leftmost derivation (forwards)
 input: read left-to-right

$$S \Rightarrow_{lm}^* x A \beta \Rightarrow_{lm} x \delta \beta \Rightarrow_{lm}^* x y$$



Basic idea

Given $A \rightarrow \alpha \mid \beta$, and some lookahead symbols, the parser should be able to choose between α & β

$$a \in \text{FIRST}(\alpha) \text{ iff } \alpha \Rightarrow^* \underline{a}\gamma, \text{ for some } \gamma$$

FIRST sets

For some *rhs* $\alpha \in \mathcal{G}$, define $\text{FIRST}(\alpha)$ as the set of tokens that appear as the first (terminal) symbol in some string that derives from α

That is, $a \in \text{FIRST}(\alpha)$ iff $\alpha \Rightarrow^* a\gamma$, for some γ

For a non-terminal A , define $\text{FOLLOW}(A)$ as

$\text{FOLLOW}(A) :=$ the set of terminals that can appear immediately to the right of A in some sentential form.

Thus, a non-terminal's FOLLOW set specifies the tokens that can legally appear after it; a terminal has no FOLLOW set

The FIRST⁺ set only corresponds to a certain rule

Define FIRST⁺(δ) for rule $A \rightarrow \delta$ as

- $(\text{FIRST}(\delta) - \{\epsilon\}) \cup \text{FOLLOW}(A)$, if $\epsilon \in \text{FIRST}(\delta)$
- $\text{FIRST}(\delta)$, otherwise

For the following grammar:

$$S ::= a S b \mid \epsilon$$

$$\text{First}(aSb) = \{ a \}$$

$$\text{First}(\epsilon) = \{ \epsilon \}$$

$$\text{Follow}(S) = \{ \text{eof}, b \}$$

$$\text{First}^+(S ::= aSb) = \{ a \}$$

$$\text{First}^+(S ::= \epsilon) = (\text{First}(\epsilon) - \{ \epsilon \}) \cup \text{Follow}(S) = \{ \text{eof}, b \}$$

The LL(1) Property

A grammar is *LL(1)* iff $A \rightarrow \alpha$ and $A \rightarrow \beta$ implies
 $\text{FIRST}^+(\alpha) \cap \text{FIRST}^+(\beta) = \emptyset$

This would allow the parser to make a correct choice with a lookahead of exactly one symbol !

Is the following grammar LL(1)?

$$S ::= a S b \mid \epsilon$$

$$\text{First}(aSb) = \{ a \}$$

$$\text{First}(\epsilon) = \{ \epsilon \}$$

$$\text{First}^+(S ::= aSb) = \{ a \}$$

$$\text{First}^+(S ::= \epsilon) = (\text{First}(\epsilon) - \{ \epsilon \}) \cup \text{Follow}(S) = \{ \text{eof}, b \}$$

LL(1)?

Is the following grammar LL(1)?

$$S ::= a S b \mid \varepsilon$$

$$\text{First}(aSb) = \{ a \}$$

$$\text{First}(\varepsilon) = \{ \varepsilon \}$$

$$\text{First}^+(aSb) = \{ a \}$$

$$\text{First}^+(\varepsilon) = (\text{First}(\varepsilon) - \{ \varepsilon \}) \cup \text{Follow}(S) = \{ \text{eof}, b \}$$

LL(1)? YES, since $\{ a \} \cap \{ \text{eof}, b \} = \emptyset$

Given a grammar that has the $LL(1)$ property

- Problem: NT A needs to be replaced in next derivation step
- Assume $A \rightarrow \beta_1 \mid \beta_2 \mid \beta_3$, with
 $FIRST^+(\beta_1) \cap FIRST^+(\beta_2) = \emptyset$, $FIRST^+(\beta_1) \cap FIRST^+(\beta_3) = \emptyset$, and
 $FIRST^+(\beta_2) \cap FIRST^+(\beta_3) = \emptyset$ (pair-wise disjoint sets)

```

/* find rule for A */
if (current token  $\in$   $FIRST^+(\beta_1)$ )
    select  $A \rightarrow \beta_1$ 
else if (current token  $\in$   $FIRST^+(\beta_2)$ )
    select  $A \rightarrow \beta_2$ 
else if (current token  $\in$   $FIRST^+(\beta_3)$ )
    select  $A \rightarrow \beta_3$ 
else
    report an error and return false

```

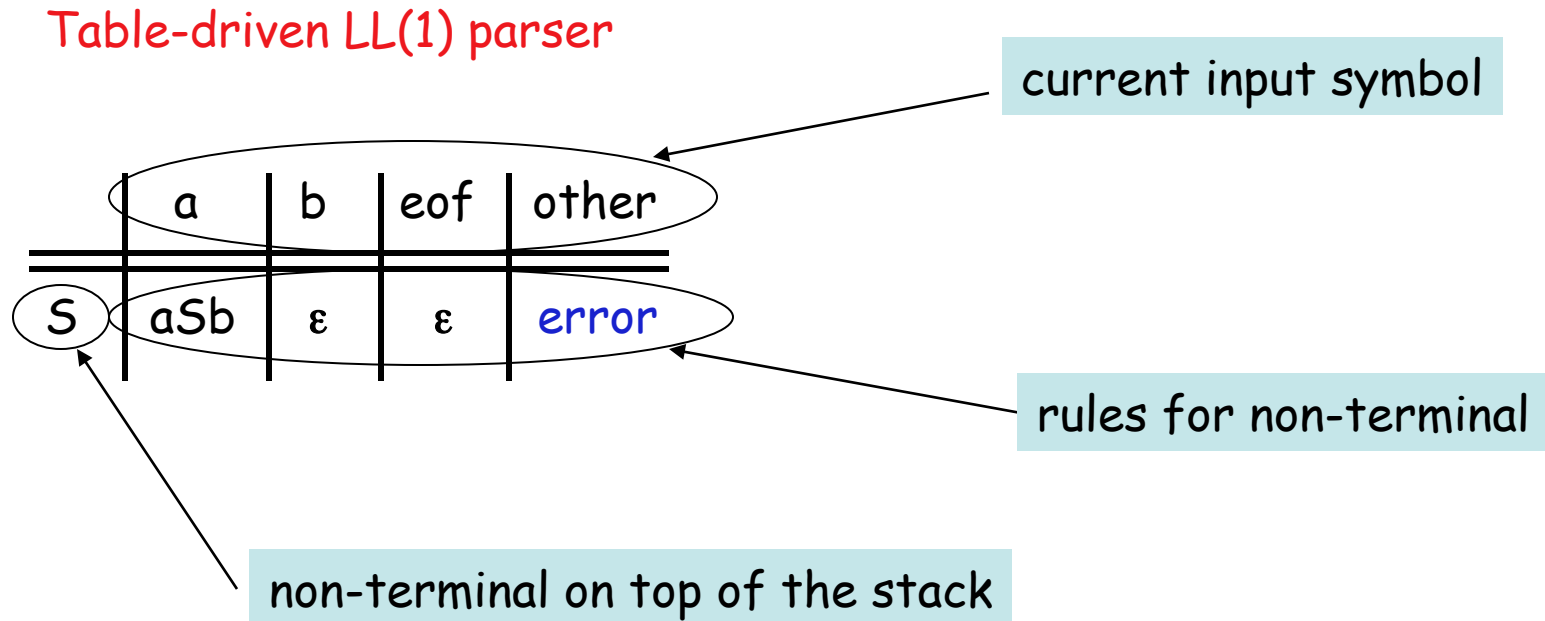
Grammars with the $LL(1)$ property are called predictive grammars because the parser can “predict” the correct expansion at each point in the parse.

Parsers that capitalize on the $LL(1)$ property are called predictive parsers.

One kind of predictive parser is the recursive descent parser. The other is a table-driven parser table-driven parser.

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error



Building the complete table

- Need a row for every NT & a column for every T
- Need an algorithm to build the table

Filling in $TABLE[X,y]$, $X \in NT$, $y \in T$

- entry is the rule $X ::= \beta$, if $y \in FIRST+(\beta)$
- entry is **error** otherwise

If any entry is defined multiple times, G is not $LL(1)$

This is the $LL(1)$ table construction algorithm

```
token ← next_token()
push EOF onto Stack
push the start symbol,  $S$ , onto Stack
TOS ← top of Stack
loop forever
  if TOS = EOF and token = EOF then
    break & report success
  else if TOS is a terminal then
    if TOS matches token then
      pop Stack // recognized TOS
      token ← next_token()
    else report error looking for TOS
  else // TOS is a non-terminal
    if TABLE[TOS,token] is  $A \rightarrow B_1 B_2 \dots B_k$  then
      pop Stack // get rid of A
      push  $B_k, B_{k-1}, \dots, B_1$  // in that order
    else report error expanding TOS
TOS ← top of Stack
```



exit on success

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

How to parse input a a a b b b ?

Describe action as sequence of states

(PDA stack content, remaining input, next action)

PDA stack content: [X, ... Z], where Z is the TOS

next actions: rule or next input+pop or error or accept

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, S, a], aaabbb, next input+pop) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, S, a], aaabbb, next input+pop) \Rightarrow
 ([eof, b, S], aabbb, S \rightarrow aSb) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, **S**], aaabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, S, **a**], aaabbb, next input+pop) \Rightarrow
 ([eof, b, **S**], aabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, b, S, **a**], aabbb, next input+pop) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, S, a], aaabbb, next input+pop) \Rightarrow
 ([eof, b, S], aabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, b, S, a], aabbb, next input+pop) \Rightarrow
 ([eof, b, b, S], abbb, S \rightarrow aSb) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
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([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, S, a], aaabbb, next input+pop) \Rightarrow
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 ([eof, b, b, S, a], aabbb, next input+pop) \Rightarrow
 ([eof, b, b, S], abbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, b, b, S, a], abbb, next input+pop) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
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([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow

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([eof, b, S], aabbb, S \rightarrow aSb) \Rightarrow

([eof, b, b, S, a], aabbb, next input+pop) \Rightarrow

([eof, b, b, S], abbb, S \rightarrow aSb) \Rightarrow

([eof, b, b, b, S, a], abbb, next input+pop) \Rightarrow

([eof, b, b, b, S], bbb, S \rightarrow ϵ) \Rightarrow

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, S], aaabbb, S \rightarrow aSb) \Rightarrow

([eof, b, S, a], aaabbb, next input+pop) \Rightarrow

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([eof, b, b, b, S, a], abbb, next input+pop) \Rightarrow

([eof, b, b, b, S], bbb, S \rightarrow ϵ) \Rightarrow

([eof, b, b, b], bbb, next input+pop) \Rightarrow ([eof, b, b], bb, next input+pop) \Rightarrow

([eof, b], b, next input+pop) \Rightarrow ([eof], eof, accept)

Table-driven LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

([eof, **S**, aaabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, S, **a**, aaabbb, next input+pop) \Rightarrow
 ([eof, b, **S**, aabbb, S \rightarrow aSb) \Rightarrow
 ([eof, b, b, S, **a**, aabbb, next input+pop) \Rightarrow
 ([eof, b, b, **S**, abbb, S \rightarrow aSb) \Rightarrow
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 ([eof, b, b, **b**, bbb, next input+pop) \Rightarrow ([eof, b, **b**, bb, next input+pop) \Rightarrow
 ([eof, **b**, b, next input+pop) \Rightarrow ([eof], eof, accept)

Recursive descent LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

1. Every NT is associated with a parsing procedure.
2. The parsing procedure for $A \in \text{NT}$, `proc A`, is responsible to parse and consume any string that can be derived from A ; it may recursively call other parsing procedures.
3. The parser is invoked by calling `proc S` for start symbol S .

Recursive descent LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

```

main () {
  token = next_token();
  if (S () and token = eof)
    print "accept"
  else
    print "error";
}

```

```

bool S () {
  switch token {
    case a: token = next_token();
             S();
             if token = b
               {token = next_token(); return true;}
             else
               return false;
             break;
    case b, case eof: return true; break;
    default: return false;
  }
}

```

Recursive descent LL(1) parser

	a	b	eof	other
S	aSb	ϵ	ϵ	error

```

main () {
    token = next_token();
    if (S () and token = eof)
        print "accept"
    else
        print "error";
}

```

How to parse input a a a b b b ?

```

bool S () {
    switch token {
        case a: token = next_token();
                S();
                if token = b
                    {token = next_token(); return true;}
                else
                    return false;
                break;
        case b, case eof: return true; break;
        default: return false;
    }
}

```

Program ::= Stmtlist .
Stmtlist ::= Stmt NextStmt
NextStmt ::= ; Stmtlist | **epsilon**
Stmt ::= Assign | Print
Assign ::= **ID** = Expr
Print ::= ! **ID**
Expr ::= + Expr Expr |
 - Expr Expr |
 * Expr Expr |
 ID |
 ICONST

Example:

a=2;b=3;c=+3*ab;!c.

Write a recursive descent, single pass ILOC code generator.

- only single char IDs or ICONST; no blanks; single line program
- use call to “next_register()” to get a fresh, virtual register
- use call to offset(ID) to get memory location relative to base addr 1024
- use call to value(ICONST) to get integer value of constant
- hint: recursive procedures return virtual register in which computation result will be stored at runtime, or “null”.

- Build FIRST (and FOLLOW) sets
- Massage grammar to have $LL(1)$ condition
 - Remove left recursion
 - Left factor it (\rightarrow will talk about this within the next few slides)
- Define a procedure for each non-terminal
 - Implement a case for each right-hand side
 - Call procedures as needed for non-terminals
- Add extra code, as needed
 - Perform context-sensitive checking
 - Build an IR (e.g., simple code generation)

Can we automate this process?

What if my grammar does not have the LL(1) property?

⇒ Sometimes, we can transform the grammar

The Algorithm

$\forall A \in NT,$

*find the longest prefix α that occurs in two
or more right-hand sides of A*

if $\alpha \neq \epsilon$ then replace all of the A productions,

$A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma,$

with

$A \rightarrow \alpha Z \mid \gamma$

$Z \rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$

where Z is a new element of NT

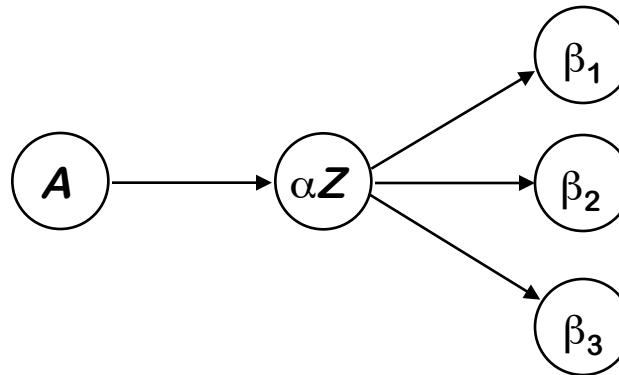
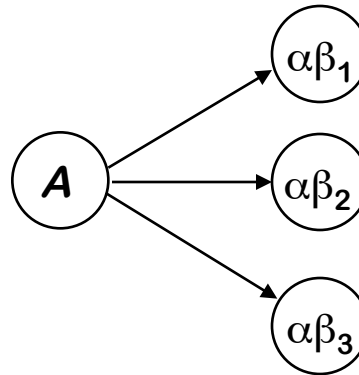
Repeat until no common prefixes remain

A graphical explanation for the same idea

$$\begin{array}{l} \mathbf{A} \rightarrow \alpha\beta_1 \\ | \alpha\beta_2 \\ | \alpha\beta_3 \end{array}$$

becomes ...

$$\begin{array}{l} \mathbf{A} \rightarrow \alpha \mathbf{Z} \\ \mathbf{Z} \rightarrow \beta_1 \\ | \beta_2 \\ | \beta_3 \end{array}$$



Consider the following fragment of the expression grammar

Factor → Identifier
 | Identifier [*ExprList*]
 | Identifier (*ExprList*)

$\text{FIRST}(rhs_1) = \{ \underline{\text{Identifier}} \}$
 $\text{FIRST}(rhs_2) = \{ \underline{\text{Identifier}} \}$
 $\text{FIRST}(rhs_3) = \{ \underline{\text{Identifier}} \}$

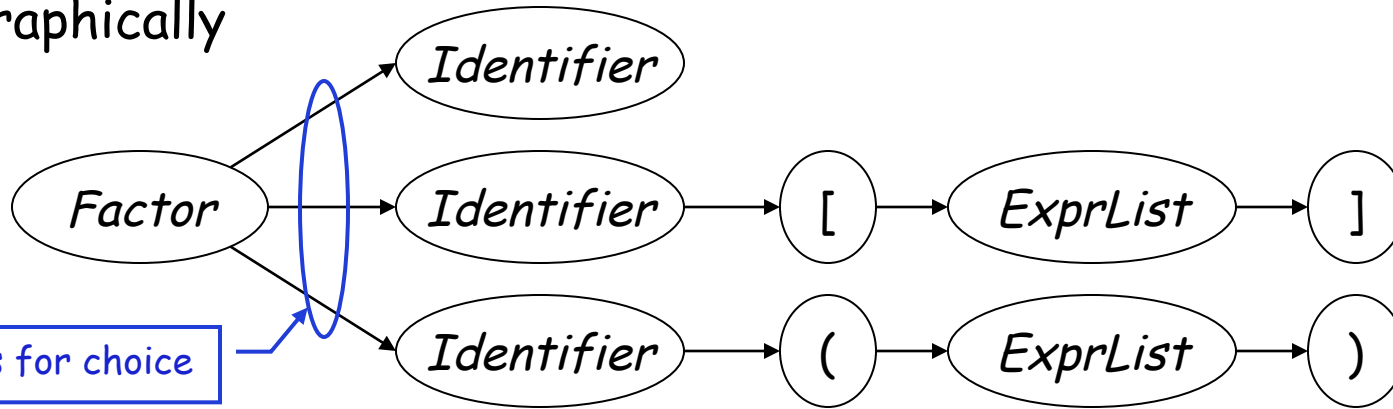
After left factoring, it becomes

Factor → Identifier *Arguments*
Arguments → [*ExprList*]
 | (*ExprList*)
 | ϵ

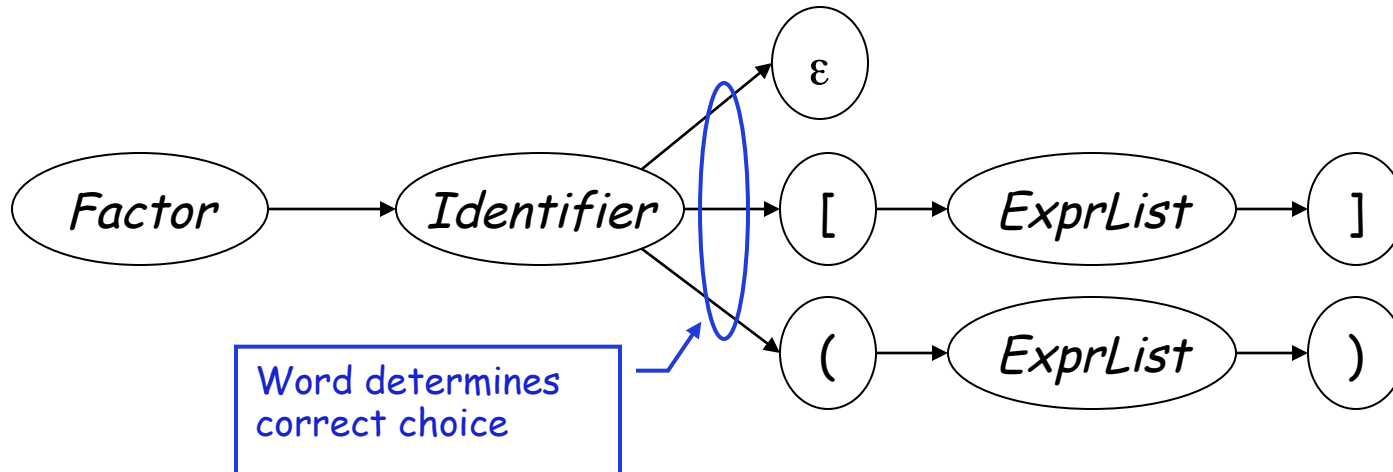
$\text{FIRST}(rhs_1) = \{ \underline{\text{Identifier}} \}$
 $\text{FIRST}(rhs_2) = \{ [\}$
 $\text{FIRST}(rhs_3) = \{ (\}$
 $\text{FIRST}(rhs_4) = \text{FOLLOW}(\textit{Factor})$
 ⇒ It has the *LL(1)* property

This form has the same syntax, with the *LL(1)* property

Graphically



becomes ...



Question

By *eliminating left recursion* and *left factoring*, can we transform an arbitrary CFG to a form where it meets the *LL(1)* condition? (and can be parsed predictively with a single token lookahead?)

Answer

Given a CFG that doesn't meet the *LL(1)* condition, it is undecidable whether or not an equivalent *LL(1)* grammar exists.

Example

$\{a^n 0 b^n \mid n \geq 1\} \cup \{a^n 1 b^{2n} \mid n \geq 1\}$ has no LL(k) grammar

$$\begin{aligned}
 G &\rightarrow \underline{a}A\underline{b} \\
 &\quad | \underline{a}B\underline{bb} \\
 A &\rightarrow \underline{a}A\underline{b} \\
 &\quad | \underline{0} \\
 B &\rightarrow \underline{a}B\underline{bb} \\
 &\quad | \underline{1}
 \end{aligned}$$

Problem: need an unbounded number of a characters before you can determine whether you are in the A group or the B group.

Parsing (Syntax Analysis)

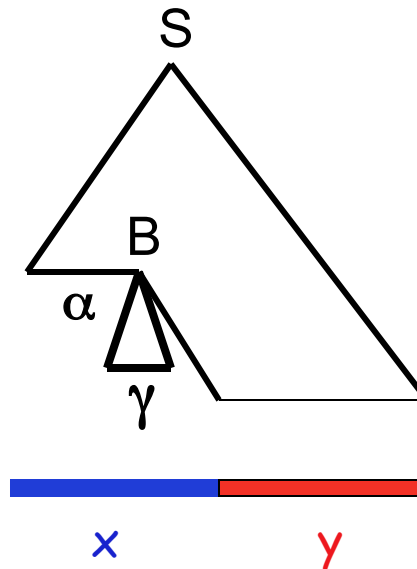
EAC Chapters 3.4

LR(1), operator precedence

1 input symbol lookahead
 construct rightmost derivation (backwards)
 input: read left-to-right

$$S \Rightarrow_{rm}^* \alpha B y \Rightarrow_{rm} \alpha \gamma y \Rightarrow_{rm}^* x y$$

rule $B ::= \gamma$



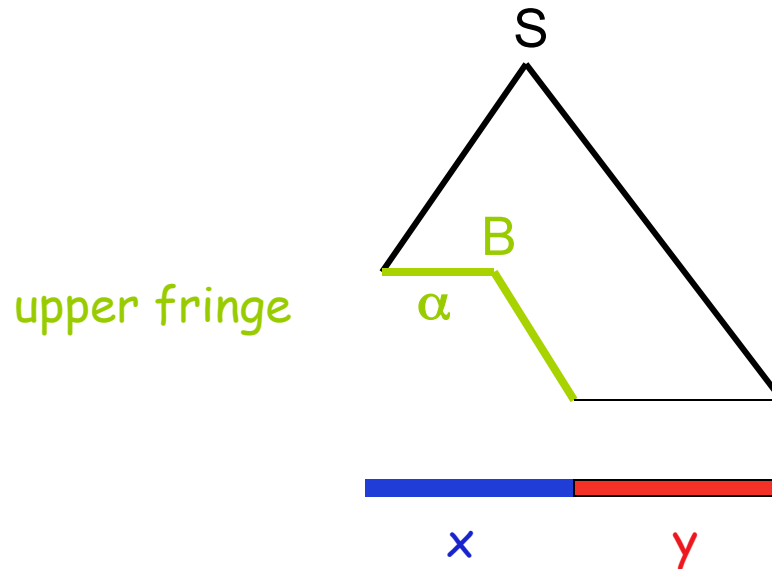
LR(1), operator precedence

1 input symbol lookahead

construct rightmost derivation (backwards)

input: read left-to-right

$$S \Rightarrow_{rm}^* \alpha B y \Rightarrow_{rm} \alpha \gamma y \Rightarrow_{rm}^* x y$$



Consider the simple grammar

1	Goal	→	<u>a</u> A B <u>e</u>
2	A	→	A <u>b</u> <u>c</u>
3			<u>b</u>
4	B	→	<u>d</u>

And the input string abcde

Sentential Form	Next Reduction	
	Prod'n	Pos'n
<u>abcde</u>	3	2

*The trick is scanning the input and finding the next reduction
The mechanism for doing this must be efficient*

Consider the simple grammar

1	Goal	→	<u>a</u> A B <u>e</u>
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And the input string abbcde

<i>Sentential Form</i>	<i>Next Reduction</i>	
	<i>Prod'n</i>	<i>Pos'n</i>
<u>abbcde</u>	3	2
<u>a</u> A <u>bcde</u>	2	4

*The trick is scanning the input and finding the next reduction
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Consider the simple grammar

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Sentential Form	Next Reduction	
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<u>a</u> A <u>bcde</u>	2	4
<u>a</u> A <u>de</u>	4	3

And the input string abbcde

*The trick is scanning the input and finding the next reduction
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And the input string abbcde

Sentential Form	Next Reduction	
	Prod'n	Pos'n
<u>abbcde</u>	3	2
<u>a</u> A <u>bcde</u>	2	4
<u>a</u> A <u>de</u>	4	3
<u>a</u> A B <u>e</u>	1	4

*The trick is scanning the input and finding the next reduction
The mechanism for doing this must be efficient*

Consider the simple grammar

1	Goal	→	<u>a</u> A B <u>e</u>
2	A	→	A <u>b</u> <u>c</u>
3			<u>b</u>
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And the input string abbcde

<i>Sentential Form</i>	<i>Next Reduction</i>	
	<i>Prod'n</i>	<i>Pos'n</i>
<u>abbcde</u>	3	2
<u>a</u> A <u>bcde</u>	2	4
<u>a</u> A <u>de</u>	4	3
<u>a</u> A B <u>e</u>	1	4
Goal	—	—

*The trick is scanning the input and finding the next reduction
The mechanism for doing this must be efficient*

The parser must find a substring β of the tree's frontier that *matches some production $A \rightarrow \beta$ that occurs as one step in the rightmost derivation*

Informally, we call this substring β a *handle*

Formally,

A *handle* of a right-sentential form γ is a pair $\langle A \rightarrow \beta, k \rangle$ where $A \rightarrow \beta \in P$ and k is the position in γ of β 's rightmost symbol.

If $\langle A \rightarrow \beta, k \rangle$ is a handle, then replacing β at k with A produces the right sentential form from which γ is derived in the rightmost derivation.

Because γ is a right-sentential form, the substring to the right of a handle contains *only terminal symbols*

⇒ the parser doesn't need to scan past the handle (only lookahead)

⇒ The right end of the handle will be on top of the stack, not within the stack. Need lookahead to determine whether we reached the handle.

Critical Insight

(Theorem?)

*If G is unambiguous, then every right-sentential form has a **unique** handle.*

If we can find those handles, we can build a derivation !

Sketch of Proof:

- 1 G is unambiguous \Rightarrow rightmost derivation is unique
- 2 \Rightarrow a unique production $A \rightarrow \beta$ applied to derive γ_i from γ_{i-1}
- 3 \Rightarrow a unique position k at which $A \rightarrow \beta$ is applied
- 4 \Rightarrow a unique handle $\langle A \rightarrow \beta, k \rangle$

This all follows from the definitions

			<i>Prod'n.</i>	<i>Sentential Form</i>	<i>Handle</i>
1	<i>Goal</i>	→	<i>Expr</i>	—	—
2	<i>Expr</i>	→	<i>Expr + Term</i>	1	<i>Expr</i>
3			<i>Expr - Term</i>	3	<i>Expr - Term</i>
4			<i>Term</i>	5	<i>Expr - Term * Factor</i>
5	<i>Term</i>	→	<i>Term * Factor</i>	9	<i>Expr - Term * <id,y></i>
6			<i>Term / Factor</i>	7	<i>Expr - Factor * <id,y></i>
7			<i>Factor</i>	8	<i>Expr - <num,2> * <id,y></i>
8	<i>Factor</i>	→	<u>number</u>	4	<i>Term - <num,2> * <id,y></i>
9			<u>id</u>	7	<i>Factor - <num,2> * <id,y></i>
10			<u>(Expr)</u>	9	<i><id,x> - <num,2> * <id,y></i>

The expression grammar

*Handles for rightmost derivation of $x = 2 * y$*

The process of discovering a handle & reducing it to the appropriate left-hand side is called *handle pruning*

Handle pruning forms the basis for a bottom-up parsing method

To construct a rightmost derivation

$$S \Rightarrow \gamma_0 \Rightarrow \gamma_1 \Rightarrow \gamma_2 \Rightarrow \dots \Rightarrow \gamma_{n-1} \Rightarrow \gamma_n \Rightarrow w$$

Apply the following simple algorithm

for $i \leftarrow n$ to 1 by -1

Find the handle $\langle A_i \rightarrow \beta_i, k_i \rangle$ in γ_i

Replace β_i with A_i to generate γ_{i-1}

This takes $2n$ steps

One implementation technique is the *shift-reduce parser*

```
push INVALID
token ← next_token( )
repeat until (top of stack = Goal and token = EOF)
  if the top of the stack is a handle  $A \rightarrow \beta$ 
    then // reduce  $\beta$  to  $A$ 
      pop  $|\beta|$  symbols off the stack
      push  $A$  onto the stack
  else if (token ≠ EOF)
    then // shift
      push token
      token ← next_token( )
  else // need to shift, but out of input
    report an error
```

How do errors show up?

- failure to find a handle
- hitting EOF & needing to shift (final else clause)

Either generates an error

Figure 3.7 in EAC

Stack	Input	Handle	Action
\$	<u>id</u> - <u>num</u> * <u>id</u>	<i>none</i>	shift
\$ <u>id</u>	- <u>num</u> * <u>id</u>		

1. Shift until the top of the stack is the right end of a handle
2. Find the left end of the handle & reduce

Stack	Input	Handle	Action
\$	<u>id</u> - num * <u>id</u>	<i>none</i>	shift
\$ <u>id</u>	- num * <u>id</u>	9,1	red. 9
\$ <i>Factor</i>	- num * <u>id</u>	7,1	red. 7
\$ <i>Term</i>	- num * <u>id</u>	4,1	red. 4
\$ <i>Expr</i>	- num * <u>id</u>		

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2. Find the left end of the handle & reduce

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\$ <u>id</u>	- <u>num</u> * <u>id</u>	9,1	red. 9
\$ <i>Factor</i>	- <u>num</u> * <u>id</u>	7,1	red. 7
\$ <i>Term</i>	- <u>num</u> * <u>id</u>	4,1	red. 4
\$ <i>Expr</i>	- <u>num</u> * <u>id</u>	<i>none</i>	shift
\$ <i>Expr</i> -	<u>num</u> * <u>id</u>	<i>none</i>	shift
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\$ <i>Term</i>	- <u>num</u> * <u>id</u>	4,1	red. 4
\$ <i>Expr</i>	- <u>num</u> * <u>id</u>	<i>none</i>	shift
\$ <i>Expr</i> -	<u>num</u> * <u>id</u>	<i>none</i>	shift
\$ <i>Expr</i> - <u>num</u>	* <u>id</u>	8,3	red. 8
\$ <i>Expr</i> - <i>Factor</i>	* <u>id</u>	7,3	red. 7
\$ <i>Expr</i> - <i>Term</i>	* <u>id</u>		

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\$ <i>Term</i>	- <u>num</u> * <u>id</u>	4,1	red. 4
\$ <i>Expr</i>	- <u>num</u> * <u>id</u>	<i>none</i>	shift
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\$ <i>Expr</i> - <i>Term</i>	* <u>id</u>	<i>none</i>	shift
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\$ <i>Expr</i> - <i>Term</i> *	<u>id</u>	<i>none</i>	shift
\$ <i>Expr</i> - <i>Term</i> * <u>id</u>		9,5	red. 9
\$ <i>Expr</i> - <i>Term</i> * <i>Factor</i>		5,5	red. 5
\$ <i>Expr</i> - <i>Term</i>		3,3	red. 3
\$ <i>Expr</i>		1,1	red. 1
\$ <i>Goal</i>		<i>none</i>	accept

5 shifts +
9 reduces +
1 accept

1. Shift until the top of the stack is the right end of a handle
2. Find the left end of the handle & reduce

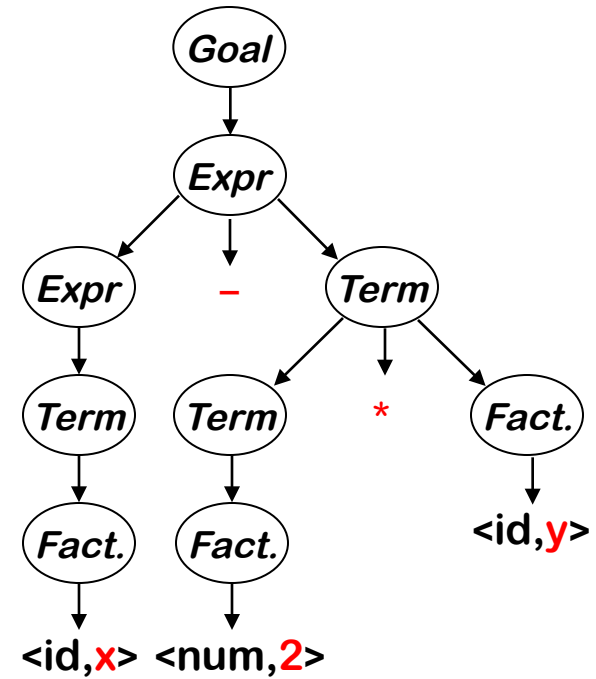
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\$ <u>id</u>	- num * <u>id</u>	9,1	red. 9
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\$ <u>Expr</u> - Term	* <u>id</u>	none	shift
\$ <u>Expr</u> - Term *	<u>id</u>	none	shift
\$ <u>Expr</u> - Term * <u>id</u>		9,5	red. 9
\$ <u>Expr</u> - Term * <u>Factor</u>		5,5	red. 5
\$ <u>Expr</u> - Term		3,3	red. 3
\$ <u>Expr</u>		1,1	red. 1
\$ <u>Goal</u>		none	accept

shift here

reduce here

1. Shift until the top of the stack is the right end of a handle
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\$	<u>id</u> - num * <u>id</u>	shift
\$ <u>id</u>	- num * <u>id</u>	red. 9
\$ <u>Factor</u>	- num * <u>id</u>	red. 7
\$ <u>Term</u>	- num * <u>id</u>	red. 4
\$ <u>Expr</u>	- num * <u>id</u>	shift
\$ <u>Expr</u> -	num * <u>id</u>	shift
\$ <u>Expr</u> - num	* <u>id</u>	red. 8
\$ <u>Expr</u> - <u>Factor</u>	* <u>id</u>	red. 7
\$ <u>Expr</u> - <u>Term</u>	* <u>id</u>	shift
\$ <u>Expr</u> - <u>Term</u> *	<u>id</u>	shift
\$ <u>Expr</u> - <u>Term</u> * <u>id</u>		red. 9
\$ <u>Expr</u> - <u>Term</u> * <u>Factor</u>		red. 5
\$ <u>Expr</u> - <u>Term</u>		red. 3
\$ <u>Expr</u>		red. 1
\$ <u>Goal</u>		accept



Shift reduce parsers are easily built and easily understood

A shift-reduce parser has just four actions

- **Shift** — next word is shifted onto the stack
- **Reduce** — right end of handle is at top of stack
Locate left end of handle within the stack
Pop handle off stack & push appropriate *lhs*
- **Accept** — stop parsing & report success
- **Error** — call an error reporting/recovery routine

Accept & Error are simple

Shift is just a push and a call to the scanner

Reduce takes $|rhs|$ pops & 1 push

If handle-finding requires state, put it in the stack \Rightarrow 2x work

Handle finding is key

- handle is on stack
 - finite set of handles
- \Rightarrow use a DFA !

- **Midterm on Wednesday, March 8**
 - closed book, closed notes
 - covers everything up to (and including) top-down parsing
 - no recitation on Tuesday, March 8
 - Q&A session second half of this Thursday 3/3 lecture - come prepared!
- Homework set 5 released,
 - last homework before midterm (only one problem)
 - due this Friday 11:59pm EST, no late submission will be accepted.

More Syntax Analysis (bottom-up)

Read EaC: Chapter 3.4