

# CS 314 Principles of Programming Languages

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## Lecture 3: Syntax Analysis

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*Rutgers University*

January 24th, 2018

# Class Information

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## Homework 1 released

- Due Tuesday 01/30/2018 11:55pm EST.
- Only accepted in **pdf** format.
- No late submission will be accepted in Sakai.
- If you have personal overriding reasons that prevent you from submitting homework, please contact me in advance.

## Our course website is online now

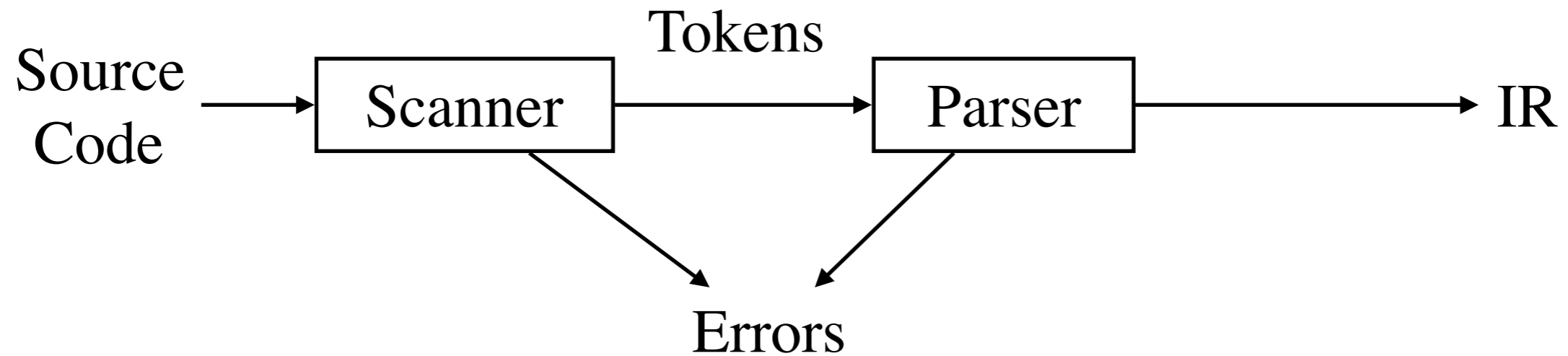
- [https://www.cs.rutgers.edu/courses/314/classes/spring\\_2018\\_zhang/](https://www.cs.rutgers.edu/courses/314/classes/spring_2018_zhang/)
- TA office hours posted to the course website.
- All lectures will be posted to the course website in the future.

## Sakai online forum is open for homework and project questions

- Before you ask, check if a similar question is already posted.
- All the questions will be answered within **72 hours**.
- Use Sakai forum wisely: plan ahead if you want an answer before a deadline.

# Review: Front End

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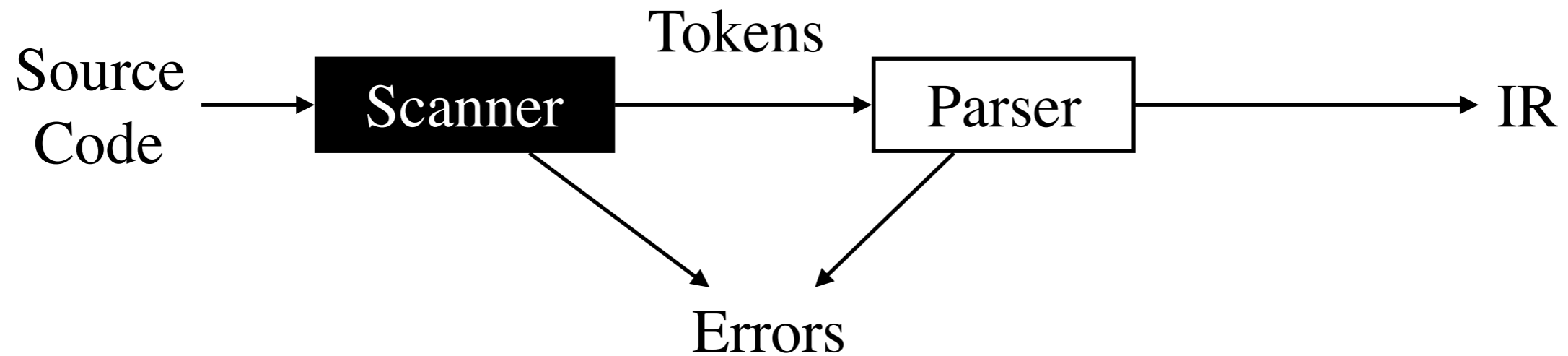
## *Front End Responsibilities:*

- Recognize legal programs
- Report errors
- Produce IR
- Preliminary storage map
- Shape the code for the back end

Much of front end construction can be automated

# Review: Front End

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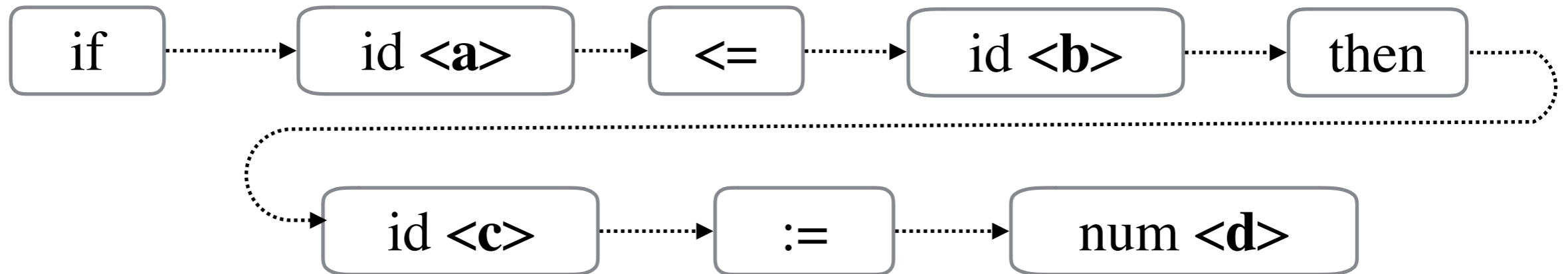
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# Review: Lexical Analysis ( Scott 2.1, 2.2)

*Character Sequence*

**i f a < = b t h e n c : = d**

**scanner**



*Token Sequence*

# Review: Tokens ( Scott 2.1, 2.2)

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## Tokens (*Terminal Symbols of CFG, Words of Lang.*)

- Smallest “atomic” units of syntax
- Used to build all the other constructs
- Example, C:

Keywords: for if goto volatile...

= \* / - < > == <= >= <> ( ) [ ] ; := . , ...

Number: (Example: 3.14 28 ...)

Identifier: (Example: b square addEntry ...)

# Review: Formalisms for Lexical and Syntactic Analysis

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Two issues in *Formal Languages*:

- Language Specification → formalism to describe what a valid program (word/sentence) looks like.
- Language Recognition → formalism to describe a machine and an algorithm that can verify that a program is valid or not.

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# Review: Regular Expressions

A syntax (notation) to specify regular languages.

<i>RE p</i>	Language $L(p)$
-------------	-----------------

$r \mid s$	$L(r) \cup L(s)$
------------	------------------

$rs$	$\{RS \mid R \in L(r), S \in L(s)\}$
------	--------------------------------------

$r^+$	$L(r) \cup L(rr) \cup L(rrr) \cup \dots$
-------	--

$r^* (r^* = r^+ \mid \epsilon)$	$\{\epsilon\} \cup L(r) \cup L(rr) \cup \dots$
---------------------------------	--

$(s)$	$L(s)$
-------	--------

*Either  $r$  or  $s$  is a regular expression, i.e.  $(0|1)^*1$*

*Any number of  $r$ 's concatenated.*

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$r^*$ ( $r^* = r^+ \mid \epsilon$ )	$\{\epsilon\} \cup L(r) \cup L(rr) \cup \dots$
-------------------------------------	--

*Any number of  $r$ 's concatenated.*

$(s)$	$L(s)$
-------	--------

$\mathbf{a}$	$\{\mathbf{a}\}$
--------------	------------------

$\epsilon$	$\{\epsilon\}$
------------	----------------

*A RE can simply be a symbol from the alphabet  $\Sigma$  or an empty symbol  $\epsilon$*

# Review: Examples of Regular Expressions

RE	Language	
<b>a bc</b>	<b>{a, bc}</b>	→ <i>Concatenation has higher precedence over alternation  .</i>
<b>(b c)a</b>	<b>{ba, ca}</b>	
<b>a ε</b>	<b>{a}</b>	
<b>a* b</b>	<b>{ε, a, aa, aaa, aaaa, ...} ∪ {b}</b>	
<b>ab*</b>	<b>{a, ab, abb, abbb, abbbb, ...}</b>	
<b>ab* c<sup>+</sup></b>	<b>{a, ab, abb, abbb, abbbb, ...} ∪ {c, cc, ccc, ...}</b>	
<b>(a b)*</b>	<b>{ε, a, b, aa, ab, ba, bb, aaa, aab, ...}</b>	
<b>(0 1)*0</b>	binary numbers ending in 0	

# Review: Regular Expressions for Programming Languages

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Let *letter* stand for  $A \mid B \mid C \mid \dots \mid Z$

Let *digit* stand for  $0 \mid 1 \mid 2 \mid \dots \mid 9$

*Integer constant*:  $\text{digit}^+$

*Identifier*:  $\text{letter}(\text{letter} \mid \text{digit})^*$

*Real constant*:  $\text{digit}^*.\text{digit}^+$

# Review: Formalisms for Lexical and Syntactic Analysis

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Two issues in *Formal Languages*:

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# Review: Formalisms for Lexical and Syntactic Analysis

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# Review: Rewriting Game

## Example input:

$\$ 0 0 \#$

$\boxed{\$ 0} 0 \#$  is rewritten as  $0 \boxed{\$} 0 \#$  by rule 2

$0 \boxed{\$ 0} \#$  is rewritten as  $0 \boxed{0 \$} \#$  by rule 2

$0 0 \boxed{\$ \#}$  is rewritten as  $0 0 \boxed{\rightarrow A}$  by rule 5

no more rules can be applied (**STOP**)

More examples:

$\$ 0 1 1 0 1 \#$

$\$ 1 0 1 0 0 \#$

$\$ 1 1 0 0 1 \#$

Rule 1	$\$1 \Rightarrow 1\&$
Rule 2	$\$0 \Rightarrow 0\$$
Rule 3	$\&1 \Rightarrow 1\$$
Rule 4	$\&0 \Rightarrow 0\&$
Rule 5	$\#\$ \Rightarrow \rightarrow A$
Rule 6	$\&\# \Rightarrow \rightarrow B$

## Questions:

- Can we get different “results” for the same input string?
- Does all this have a meaning (**semantics**), or are we just pushing symbols?

# Review: Rewriting Game

Example input:

$\boxed{\$0}1101\#$   
 $0\boxed{\$1}101\#$   
 $01\boxed{\&1}01\#$   
 $011\boxed{\$0}1\#$   
 $0110\boxed{\$1}\#$   
 $01101\boxed{\&\#}$   
 $01101\boxed{\rightarrow B}$

Rule 1	$\$1 \Rightarrow 1\&$
Rule 2	$\$0 \Rightarrow 0\$$
Rule 3	$\&1 \Rightarrow 1\$$
Rule 4	$\&0 \Rightarrow 0\&$
Rule 5	$\#\Rightarrow \rightarrow A$
Rule 6	$\&\#\Rightarrow \rightarrow B$

Can you predict the outcome of rewriting the following expressions?

$\$01111\#$

$01111 \rightarrow A$

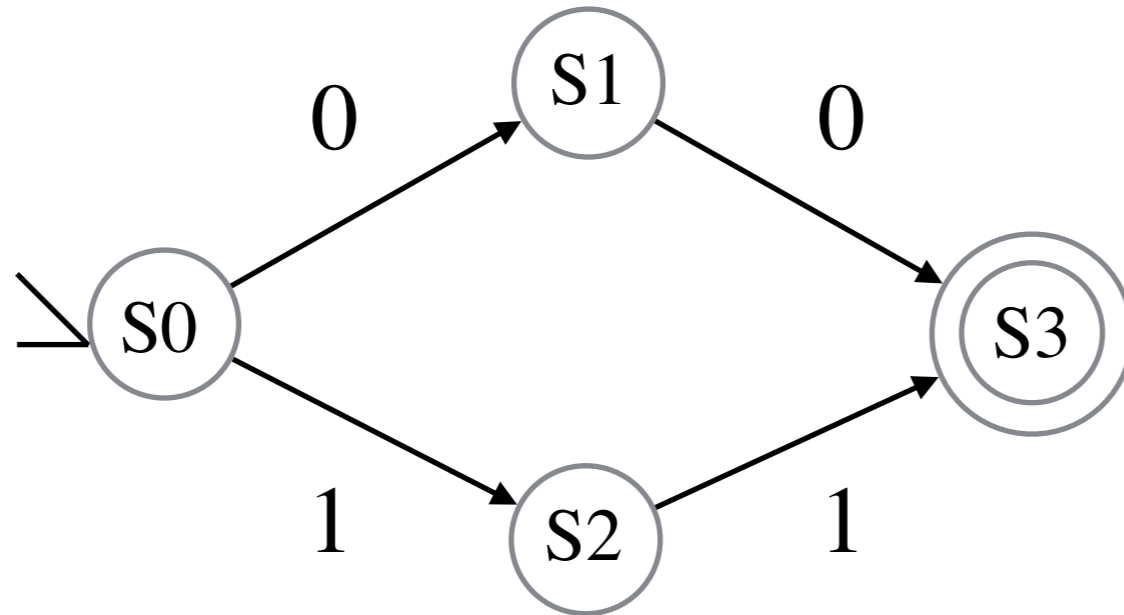
$\$10100\#$

$10100 \rightarrow A$

$\$11001\#$

$11001 \rightarrow B$

# Reviewer: Finite State Automata



A Finite-State Automaton is a quadruple:  $\langle S, s, F, T \rangle$

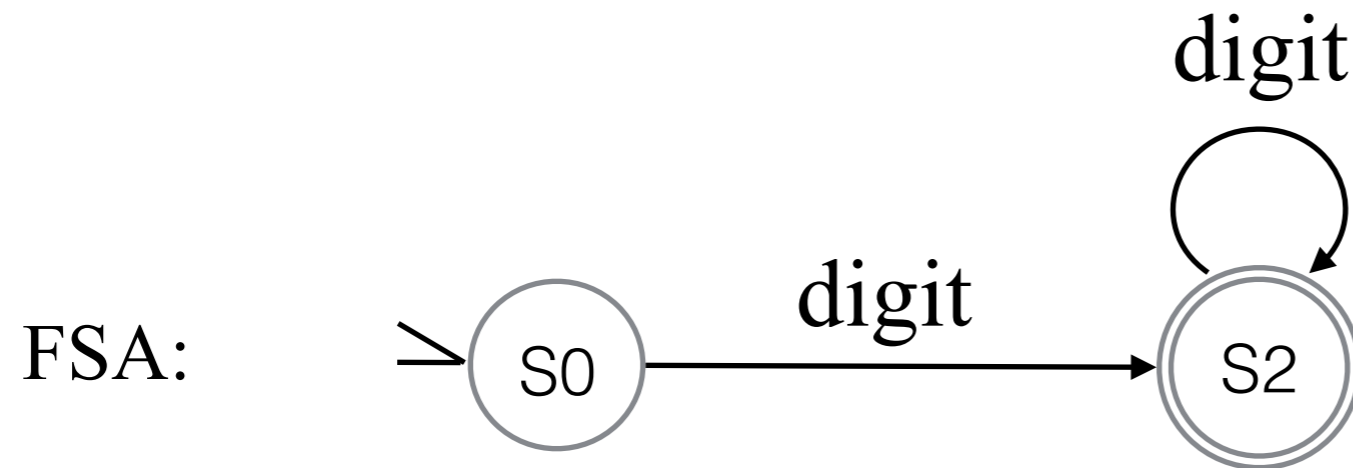
- $S$  is a set of states, e.g.,  $\{S0, S1, S2, S3\}$
- $s$  is the start state, e.g.,  $S0$
- $F$  is a set of final states, e.g.,  $\{S3\}$
- $T$  is a set of labeled transitions, of the form  $(\text{state}, \text{input}) \rightarrow \text{state}$  [i.e.,  $S \times \Sigma \rightarrow S$ ]

# Review: Recognizers for Regular Expressions

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Example 1: integer constant

RE:  $\text{digit}^+$

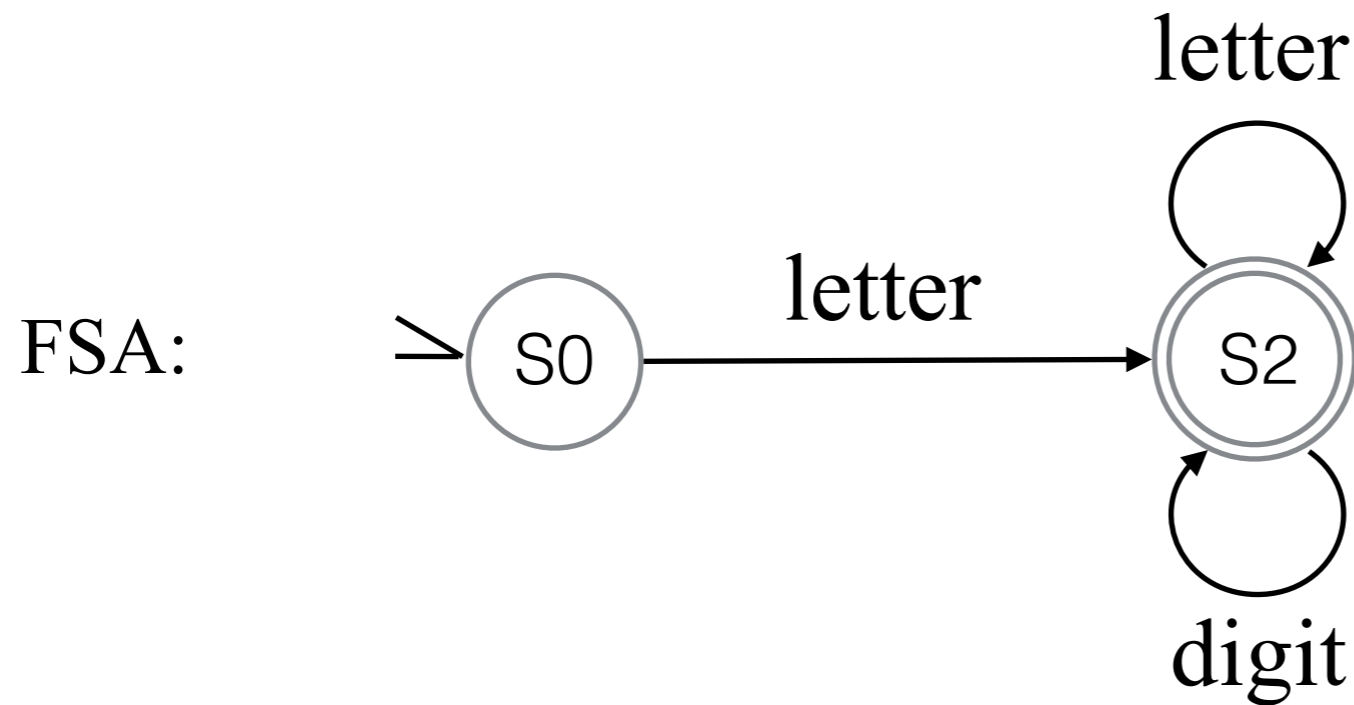


# Review: Recognizers for Regular Expressions(Cont.)

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Example 2: identifier

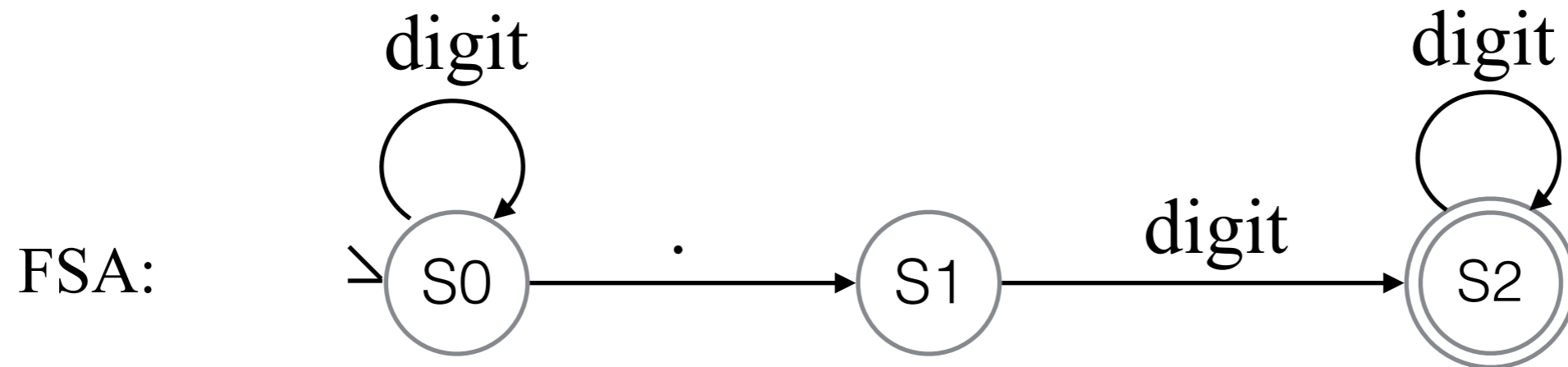
RE: letter(letter | digit)\*



# Review: Recognizers for Regular Expressions(Cont.)

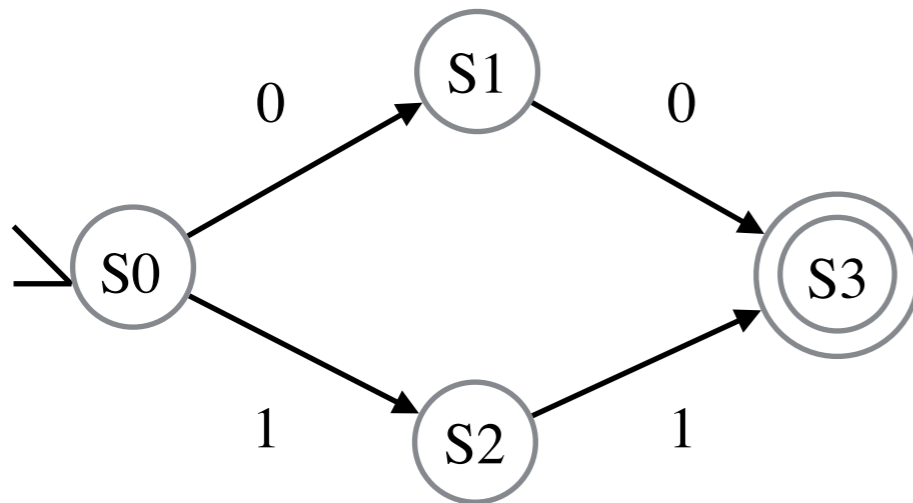
Example 3: Real constant

RE:  $\text{digit}^*.\text{digit}^+$



# Review: Finite State Automata

Transitions can be represented using a transition table:

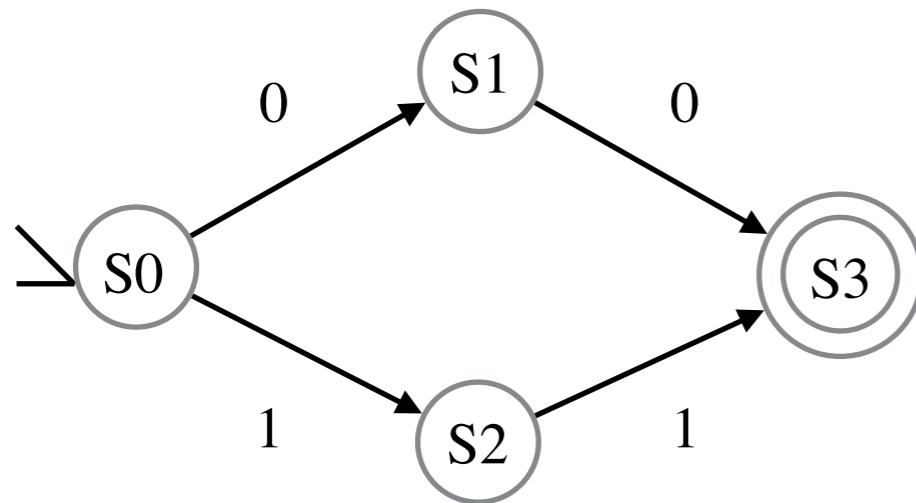


State	0	1	Input
<i>S0</i>	<i>S1</i>	<i>S2</i>	
<i>S1</i>	<i>S3</i>	-	
<i>S2</i>	-	<i>S3</i>	

An FSA *accepts* or *recognizes* an input string **N** **iff** there is some path from start state to a final state such that the labels on the path spell **N**.

# Review: Finite State Automata

Transitions can be represented using a transition table:

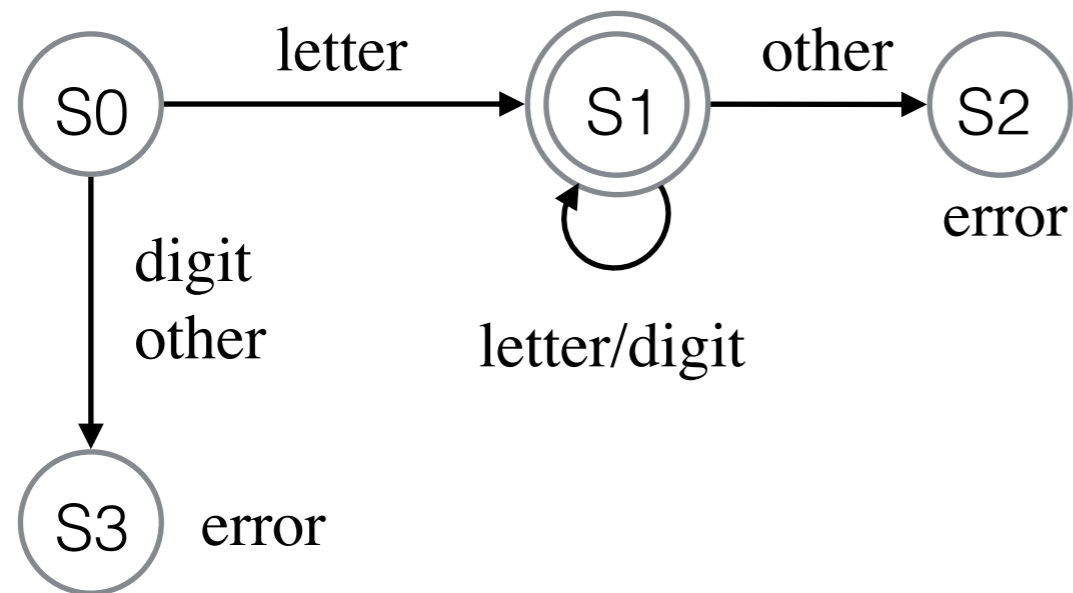


State	0	1	Input
S0	S1	S2	
S1	S3	-	
S2	-	S3	

Two red dashed circles highlight the '-' entries in the table. Red arrows point from these circles to the word "Error" written in red text.

An FSA *accepts/recognizes* an input string **iff** there is some path from start state to a final state such that the labels on the path are that string. Lack of entry in the table (or no arc for a given character) indicates an *error—reject*.

# Review: Implementation: Code for the recognizer



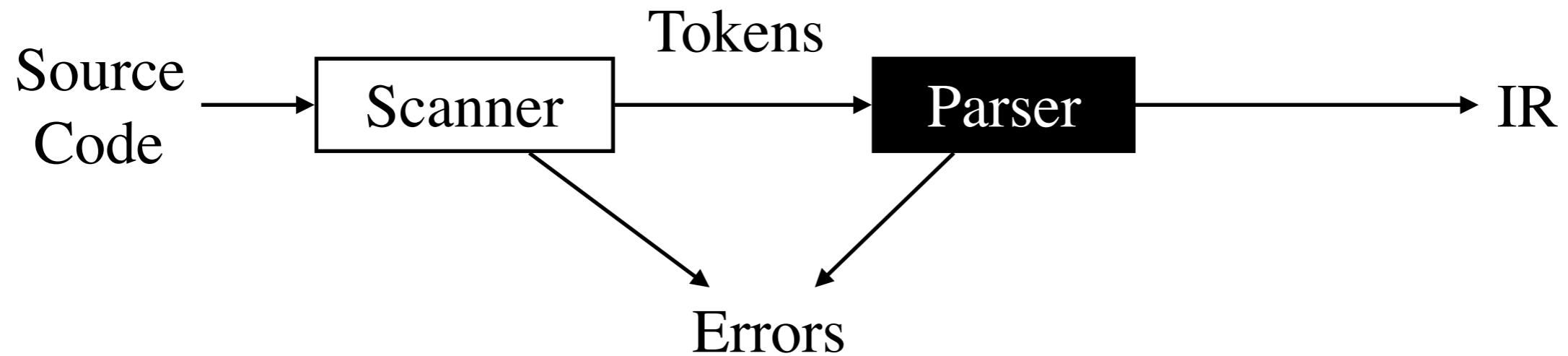
<i>class</i>	<i>S0</i>	<i>S1</i>	<i>S2</i>	<i>S3</i>
<i>letter</i>	<i>S1</i>	<i>S1</i>	—	—
<i>digit</i>	<i>S3</i>	<i>S1</i>	—	—
<i>other</i>	<i>S3</i>	<i>S2</i>	—	—

```

char ← next_char();
state ← S0;
done ← false;
while( not done ) {
    class ← char_class[char];
    state ← next_state[class,state];
    switch(state) {
        case S1:
            /* building an id */
            token_value ← token_value + char;
            char ← next_char();
            if (char == DELIMITER)
                done = true;
            break;
        case S2: /* error state */
        case S3: /* error state */
            token type = error;
            done = true;
            break;
    }
}
return token_type;
  
```

# Review: Front End

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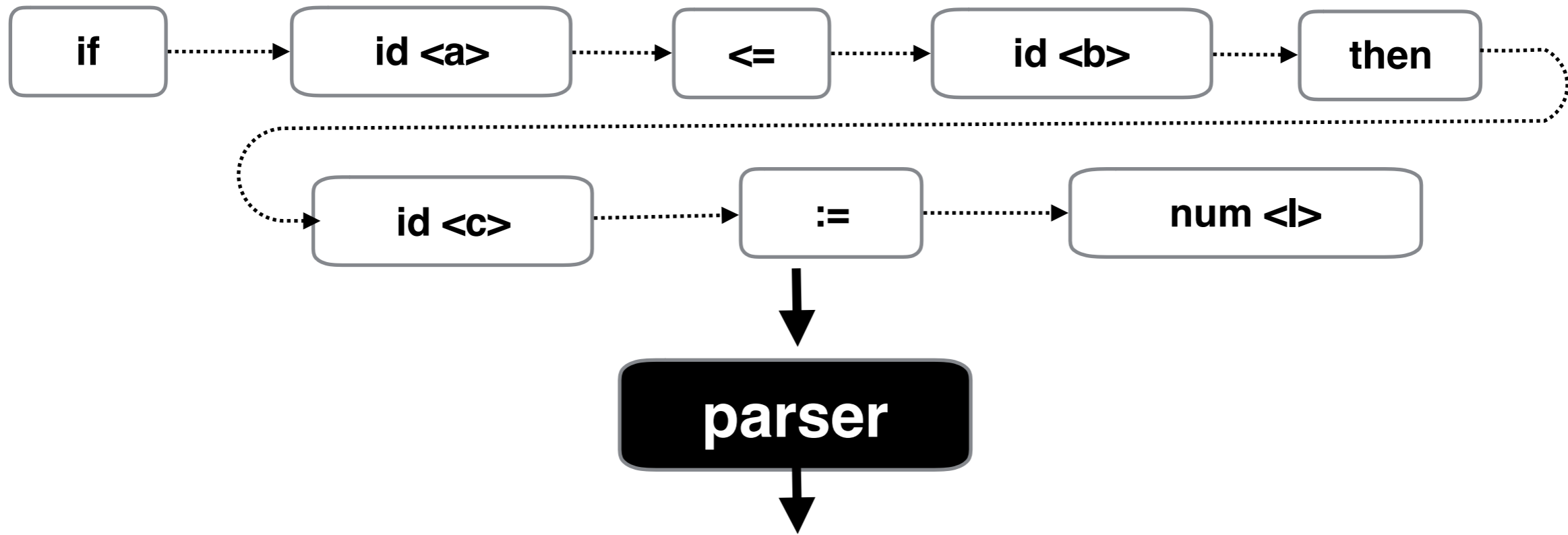
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# Syntax Analysis ( Scott, Chapter 2.3)

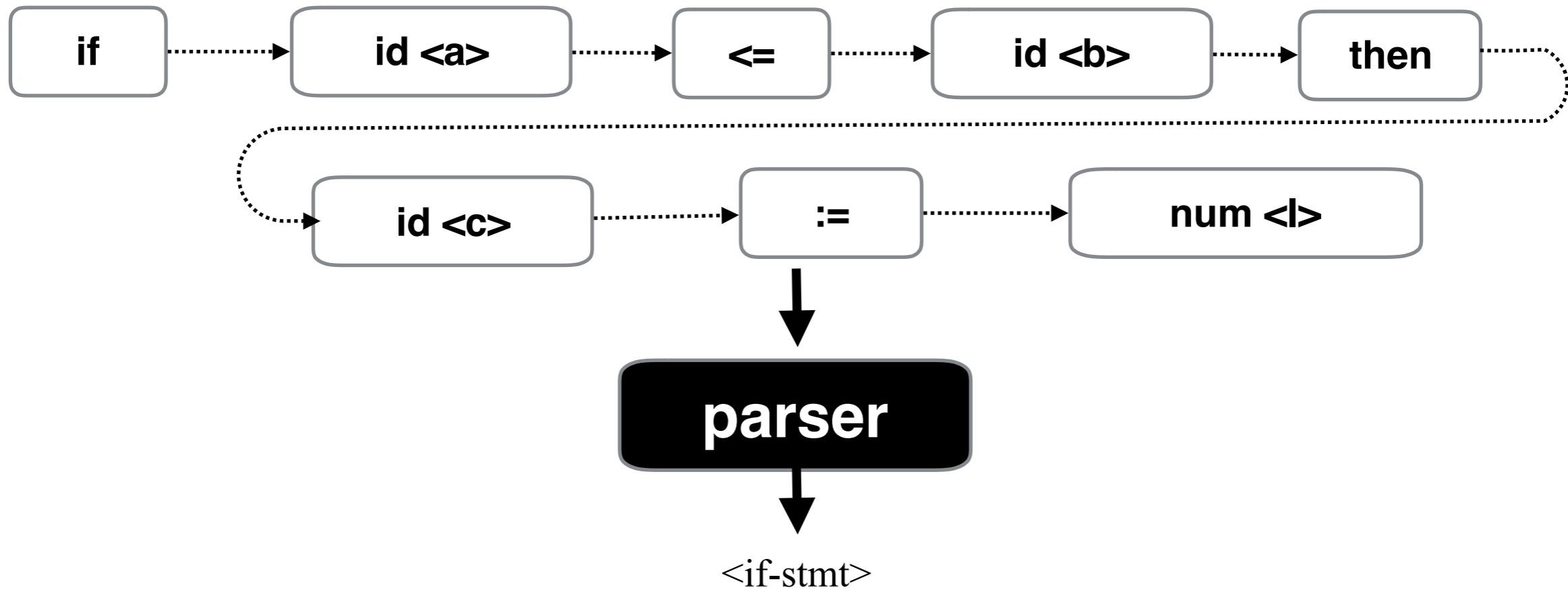
Token Sequence



Parse Tree

# Syntax Analysis ( Scott, Chapter 2.3)

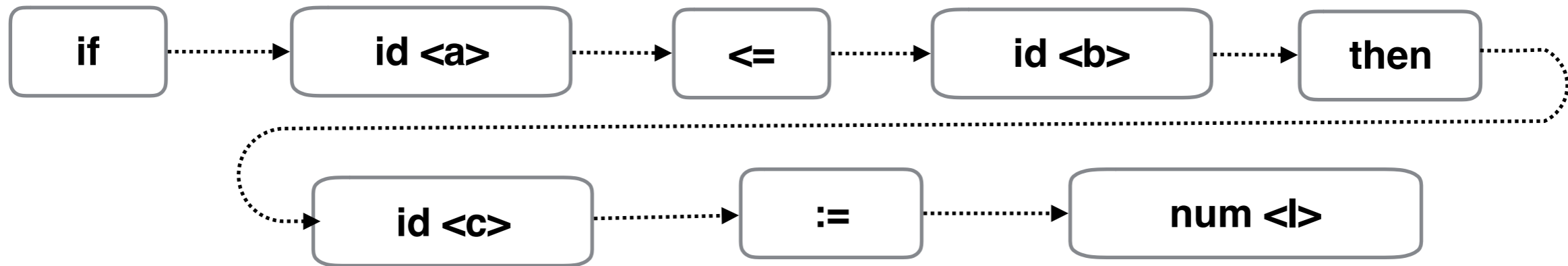
Token Sequence



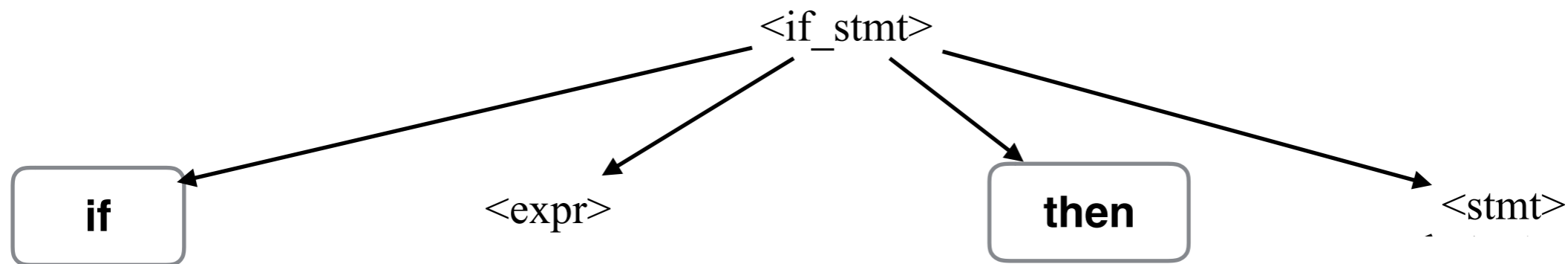
Parse Tree

# Syntax Analysis ( Scott, Chapter 2.3)

Token Sequence



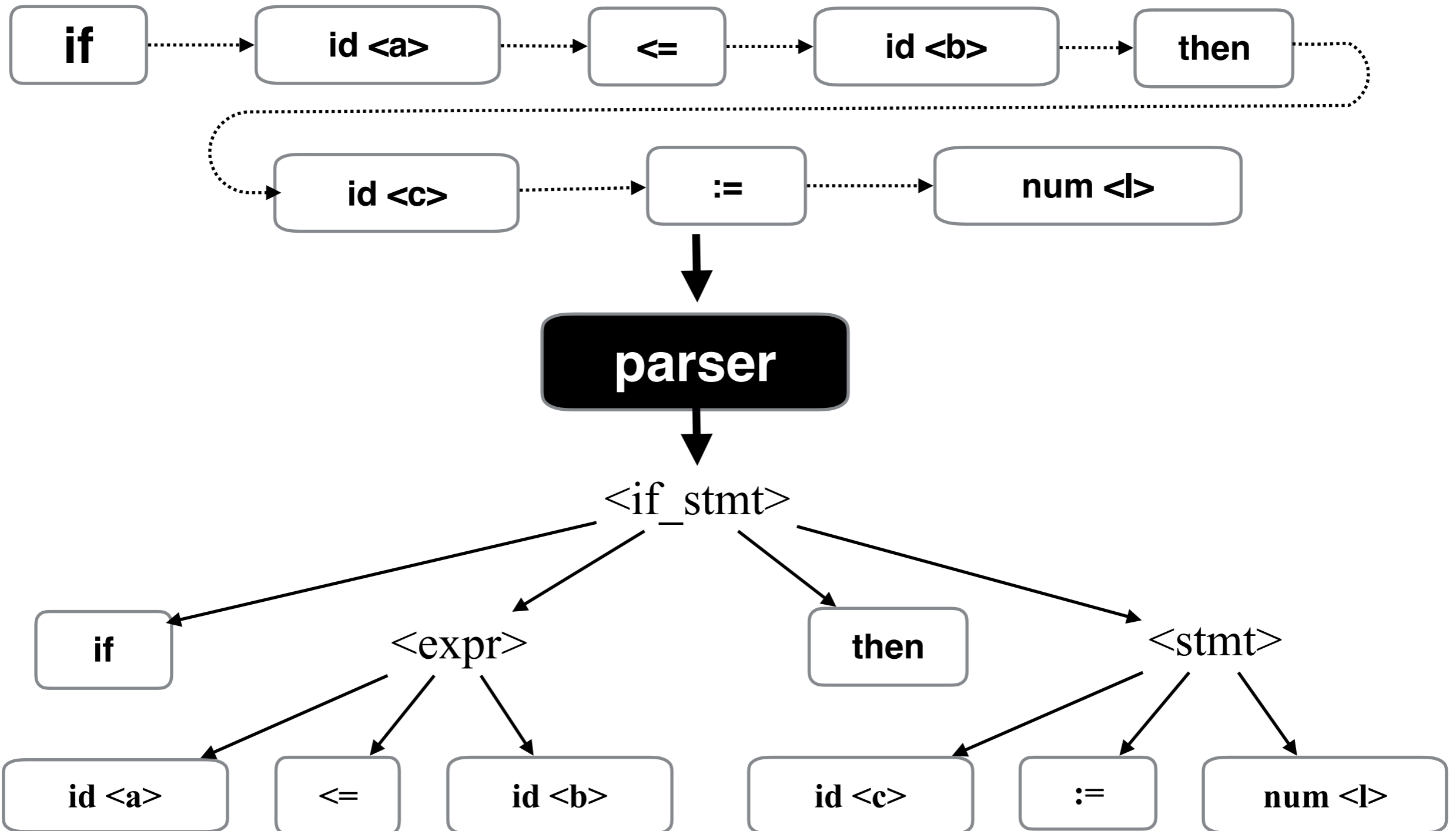
**parser**



Parse Tree

# Syntax Analysis ( Scott, Chapter 2.3)

Token Sequence



Parse Tree

# Context Free Grammars (CFGs)

- A formalism to for describing languages
- A CFG  $G = \langle T, N, P, S \rangle$ :
  1. A set  $T$  of terminal symbols (tokens).
  2. A set  $N$  of nonterminal symbols.
  3. A set  $P$  production (rewrite) rules.
  4. A special start symbol  $S$ .
- The language  $L(G)$  is the set of sentences of terminal symbols in  $T^*$  that can be derived from the start symbol  $S$ :

$$L(G) = \{w \in T^* \mid S \Rightarrow^* w\}$$

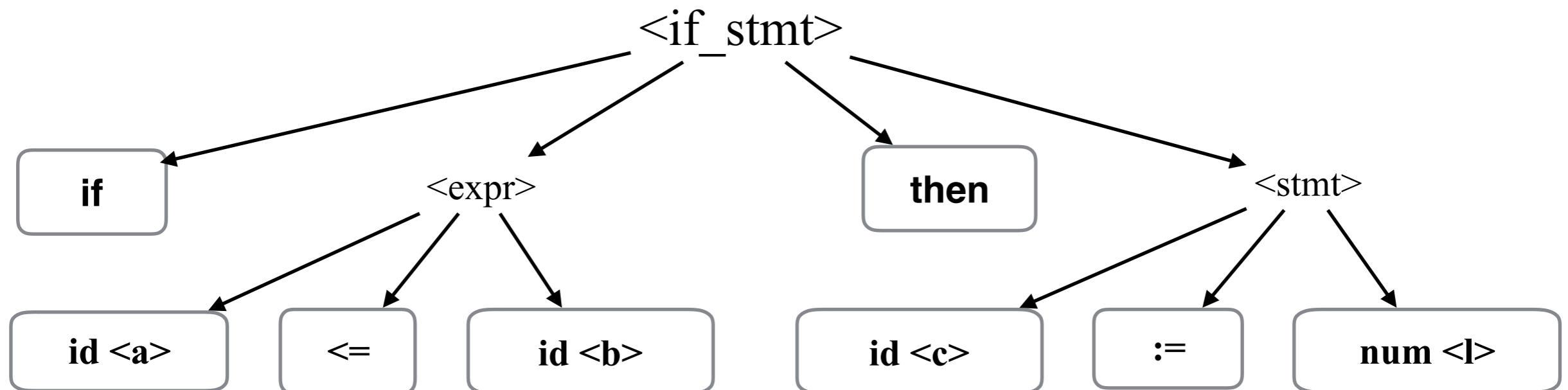
CFGs are rewrite systems with restrictions on the form of rewrite (production) rules that can be used.

# An Example of a Partial Context Free Grammar

$\langle \text{if-stmt} \rangle ::= \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt} \rangle$

$\langle \text{expr} \rangle ::= \text{id } \langle = \text{id} \rangle$

$\langle \text{stmt} \rangle ::= \text{id } := \text{num}$



Parse Tree

# Elements of BNF Syntax

**Terminal Symbol:** **Symbol-in-Boldface**

**Non-Terminal Symbol:** *Symbol-in-Angle-Brackets*

**Production Rule:**

Non-Terminal ::= Sequence of Symbols

or

Non-Terminal ::= Sequence | Sequence | Sequence | ...

Alternative Symbol: |

Empty String:  $\epsilon$

**Example:**

...

**<if-stmt> ::= if <expr> then <stmt>**

**<expr> ::= id <= id**

**<stmt> ::= id := num**

# How a BNF Grammar Describes a Language

---

A sentence is a sequence of terminal symbols (tokens).

The language  $L(G)$  of a BNF grammar  $G$  is the set of sentences generated using the grammar:

- Begin with start symbol.
- Iteratively replace non-terminals with terminals according to the rules (rewrite system).

*This replacing process is called a derivation ( $\Rightarrow$ ).*

*Zero or multiple derivation steps are written as  $\Rightarrow^*$ .*

Formally,  $L(G) = \{w \in T^* \mid S \Rightarrow^* w\}$

# A Complete Simple BNF Grammar

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**Terminals**            letters, digits, :=

**Non-Terminals**    <letter> <digit> <identifier> <stmt>

## Productions

1. < letter > ::= **A** | **B** | **C** | ... | **Z**
2. < digit > ::= **0** | **1** | **2** | ... | **9**
3. < identifier > ::= < letter > |
4.                            < identifier > < letter > |
5.                            < identifier > < digit >
6. < stmt > ::= < identifier > := 0

**Start Symbol**      <stmt>

# Derivation in a Grammar ( $\mathcal{G}$ )

---

Is  $X2 := 0 \in L(\mathcal{G})$ , i.e., can  $X2 := 0$  be derived in  $\mathcal{G}$ ?

In which order to apply the rules?

Typically, there are three options:

leftmost ( $\Rightarrow_L$ )

rightmost ( $\Rightarrow_R$ )

any ( $\Rightarrow$ )

# Derivation in a Grammar ( $\mathcal{G}$ )

Is  $X2 := 0 \in L(\mathcal{G})$ , i.e., can  $X2 := 0$  be derived in  $\mathcal{G}$ ?

leftmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_L$	6

1.  $\langle \text{letter} \rangle ::= A \mid B \mid C \mid \dots \mid Z$
2.  $\langle \text{digit} \rangle ::= 0 \mid 1 \mid 2 \mid \dots \mid 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle \mid$
4.  $\langle \text{identifier} \rangle \langle \text{letter} \rangle \mid$
5.  $\langle \text{identifier} \rangle \langle \text{digit} \rangle$
6.  $\langle \text{stmt} \rangle ::= \langle \text{identifier} \rangle := 0$

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1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle |$
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$\langle \text{identifier} \rangle := 0 \Rightarrow_L$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
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$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	

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$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	1
$X \langle \text{digit} \rangle := 0 \Rightarrow_L$	

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
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$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	1
$X \langle \text{digit} \rangle := 0 \Rightarrow_L$	2
$X2 := 0$	

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
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$X2 := 0$	

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle |$
4.  $\langle \text{identifier} \rangle \langle \text{letter} \rangle |$
5.  $\langle \text{identifier} \rangle \langle \text{digit} \rangle$
6.  $\langle \text{stmt} \rangle ::= \langle \text{identifier} \rangle := 0$

rightmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_R$	

# Derivation in a Grammar ( $G$ )

Is  $X2 := 0 \in L(G)$ , i.e., can  $X2 := 0$  be derived in  $G$ ?

leftmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_L$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_L$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	3
$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	1
$X \langle \text{digit} \rangle := 0 \Rightarrow_L$	2
$X2 := 0$	

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle |$
4.  $\langle \text{identifier} \rangle \langle \text{letter} \rangle |$
5.  $\langle \text{identifier} \rangle \langle \text{digit} \rangle$
6.  $\langle \text{stmt} \rangle ::= \langle \text{identifier} \rangle := 0$

rightmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_R$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_R$	

# Derivation in a Grammar ( $\mathcal{G}$ )

Is  $X2 := 0 \in L(\mathcal{G})$ , i.e., can  $X2 := 0$  be derived in  $\mathcal{G}$ ?

leftmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_L$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_L$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	3
$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	1
$X \langle \text{digit} \rangle := 0 \Rightarrow_L$	2
$X2 := 0$	

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle |$
4.  $\langle \text{identifier} \rangle \langle \text{letter} \rangle |$
5.  $\langle \text{identifier} \rangle \langle \text{digit} \rangle$
6.  $\langle \text{stmt} \rangle ::= \langle \text{identifier} \rangle := 0$

rightmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_R$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_R$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_R$	

# Derivation in a Grammar ( $G$ )

Is  $X2 := 0 \in L(G)$ , i.e., can  $X2 := 0$  be derived in  $G$ ?

leftmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_L$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_L$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	3
$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	1
$X \langle \text{digit} \rangle := 0 \Rightarrow_L$	2
$X2 := 0$	

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rightmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_R$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_R$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_R$	2
$\langle \text{identifier} \rangle 2 := 0 \Rightarrow_R$	

# Derivation in a Grammar ( $G$ )

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$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_L$	1
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rightmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_R$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_R$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_R$	2
$\langle \text{identifier} \rangle 2 := 0 \Rightarrow_R$	4
$\langle \text{letter} \rangle 2 := 0 \Rightarrow_R$	

# Derivation in a Grammar ( $G$ )

Is  $X2 := 0 \in L(G)$ , i.e., can  $X2 := 0$  be derived in  $G$ ?

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rightmost derivation	rule
$\langle \text{stmt} \rangle \Rightarrow_R$	6
$\langle \text{identifier} \rangle := 0 \Rightarrow_R$	5
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0 \Rightarrow_R$	2
$\langle \text{identifier} \rangle 2 := 0 \Rightarrow_R$	4
$\langle \text{letter} \rangle 2 := 0 \Rightarrow_R$	1
$X2 := 0$	

# Parsing of a Language $L(G)$

Can we **recognize**  $X2 := 0$  as being in  $L(G)$ ?

$X2 := 0$	Rule
$\langle \text{letter} \rangle 2 := 0$	1
$\langle \text{identifier} \rangle 2 := 0$	3
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0$	2
$\langle \text{identifier} \rangle := 0$	5
$\langle \text{stmt} \rangle$	4

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle |$   
 $\langle \text{identifier} \rangle \langle \text{letter} \rangle |$
4.  $\langle \text{identifier} \rangle \langle \text{digit} \rangle$
5.  $\langle \text{identifier} \rangle := 0$
6.  $\langle \text{stmt} \rangle ::= \langle \text{identifier} \rangle := 0$

We will talk about LL(1) grammars and an example parser for a small language (tinyL) that is implemented using mutually recursive procedures (recursive descent parser).

# Parsing of a Language $L(G)$

Can we recognize  $X2 := 0$  as being in  $L(G)$ ?

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Rule

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
2.  $\langle \text{digit} \rangle ::= 0 | 1 | 2 | \dots | 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle |$
4.  $\langle \text{identifier} \rangle \langle \text{letter} \rangle |$
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# Parsing of a Language $L(G)$

Can we **recognize**  $X2 := 0$  as being in  $L(G)$ ?

$X2 := 0$	Rule
$\langle \text{letter} \rangle 2 := 0$	1

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# Parsing of a Language $L(G)$

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$\langle \text{identifier} \rangle 2 := 0$	3

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$\langle \text{identifier} \rangle := 0$	5

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
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$\langle \text{stmt} \rangle$	4

1.  $\langle \text{letter} \rangle ::= A | B | C | \dots | Z$
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# Next Lecture

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Things to do:

- Read Scott, Chapter 2.3 - 2.5 (skip 2.3.3 bottom - up Parsing)