

# CS 314 Principles of Programming Languages

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## Lecture 16: Functional Programming

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# Review: Computation Paradigms

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## Functional:

*Composition of operations on data.*

- No named memory locations
- Value binding through parameter passing
- Key operations: *Function application* and *Function abstraction*
- Basis in *lambda calculus*

# Review: Pure Functional Languages

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Fundamental concept: **application** of (mathematical) functions to values

1. **Referential transparency**: the value of a function application is independent of the context in which it occurs

- value of  $foo(a, b, c)$  depends only on the values of  $foo$ ,  $a$ ,  $b$  and  $c$
- it does not depend on the global state of the computation

⇒ all vars in function must be local (or parameters)

2. **The concept of assignment is NOT part of function programming**

- no explicit assignment statements
- variables bound to values only through the association of actual parameters to formal parameters in function calls
- thus no need to consider global states

# Review: Pure Functional Languages

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## 3. Control flow is governed by function calls and conditional expressions

⇒ no loop

⇒ recursion is widely used

## 4. All storage management is implicit

- needs garbage collection

## 5. Functions are ***First Class Values***

- can be returned from a subroutine
- can be passed as a parameter
- can be bound to a variable

# Review: Pure Functional Languages

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A program includes:

1. A set of function definitions
2. An expression to be evaluated

E.g. in scheme,

```
> (define length
    (lambda (x)
      (if (null? x)
          0
          (+ 1 (length (rest x))))))

> (length '(A LIST OF 7 THINGS))
5
```

# LISP

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- Functional language developed by John McCarthy in the mid 50's
- Semantics based on *Lambda Calculus*
- All functions operate on lists or symbols called: "S-expression"
- Only five basic functions:
  - list functions *con*, *car*, *cdr*, *equal*, *atom*,
  - & one conditional construct: *cond*
- Useful for list-processing (LISP) applications
- Program and data have the same syntactic form "S-expression"
- Originally used in Artificial Intelligence

# SCHEME

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- Developed in 1975 by Gerald J. Sussman and Guy L. Steele
- A dialect of LISP
- Simple syntax, small language
- Closer to initial semantics of LISP as compared to COMMON LISP
- Provide basic list processing tools
- Allows functions to be first class objects

# SCHEME

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- Expressions are written in prefix, parenthesized form

*(function arg<sub>1</sub> arg<sub>2</sub> ... arg<sub>n</sub>)*

*(+ 4 5)*

*(+ (\* 3 4) (- 5 3))*

- Operational semantics:

In order to evaluate an expression

1. Evaluate function to a function value
2. Evaluate each arg<sub>i</sub> in order to obtain its value
3. Apply function value to these values

# S-expression

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S-expression ::= Atom | ( S-expression ) | S-expression S-expression  
Atom ::= Name | Number | #t | #f |  $\epsilon$

#t

()

(a b c)

(a (b c) d)

((a b c) (d e (f)))

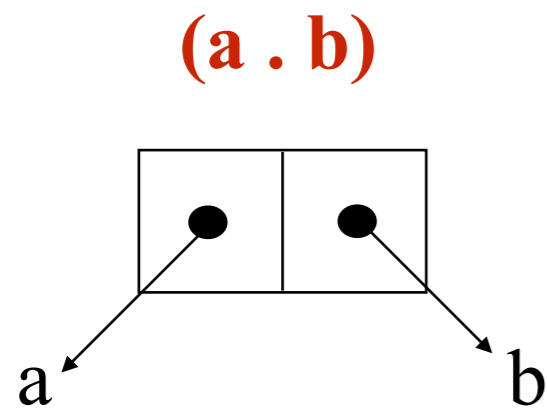
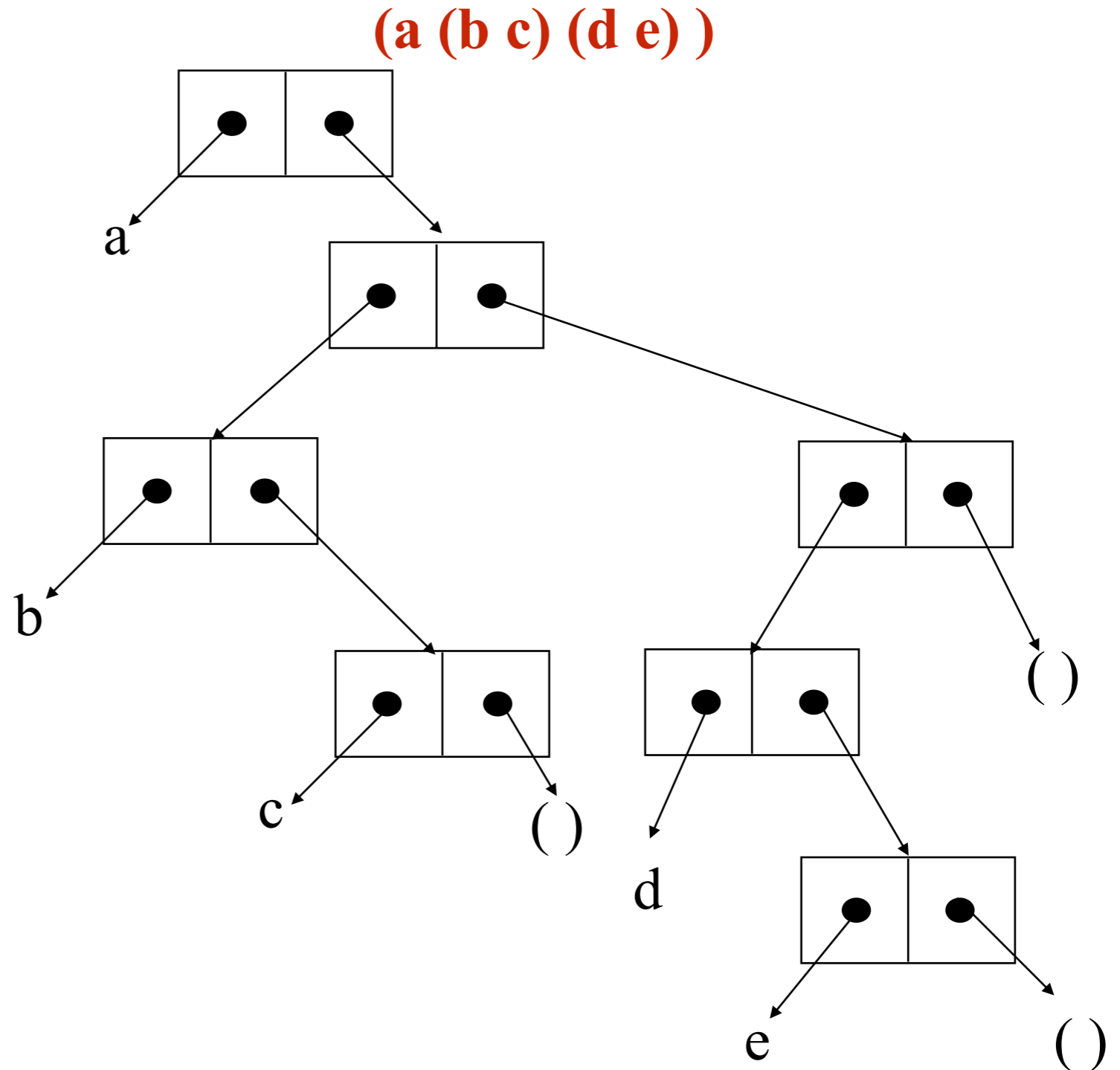
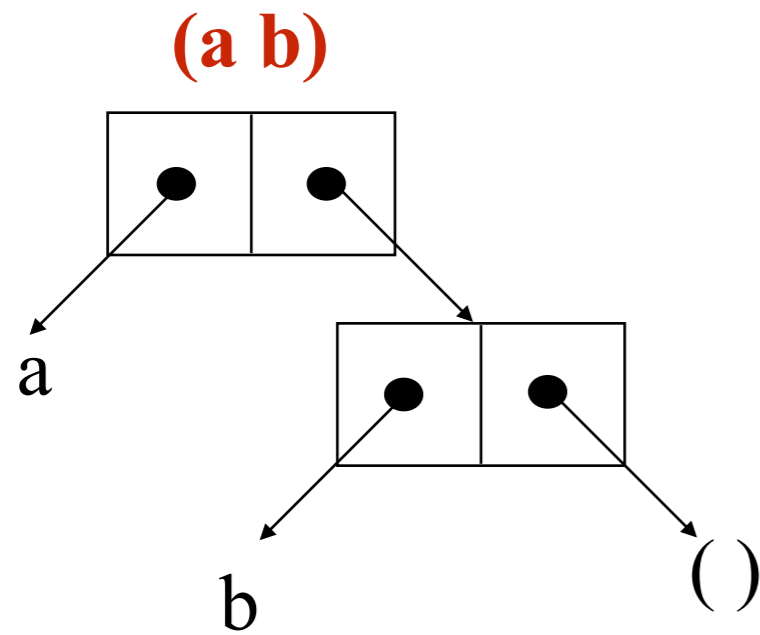
(1 (b) 2)

Lists have nested structure!

# Lists in Scheme

The building blocks for lists are pairs or cons-cells.

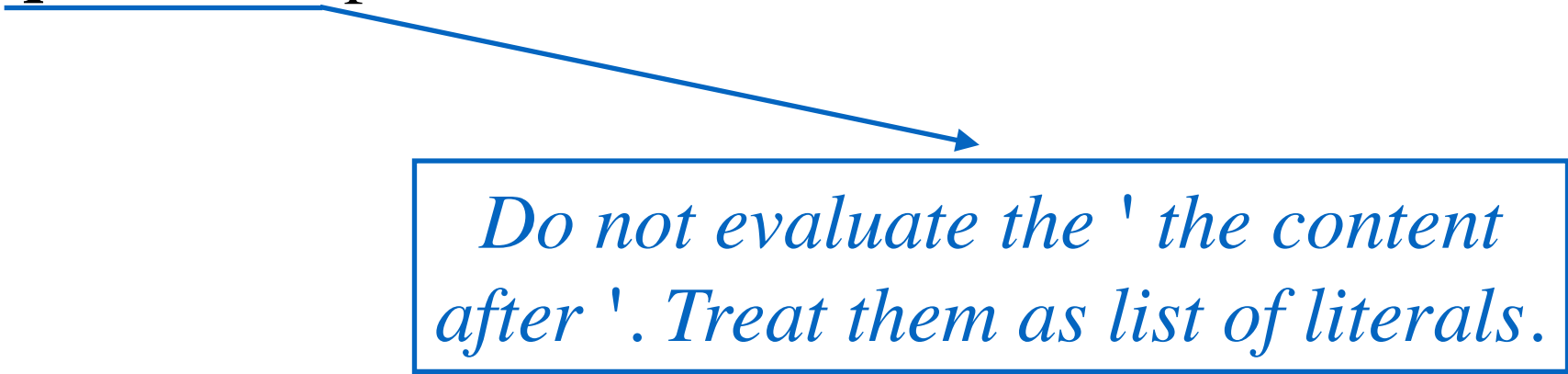
Lists use the empty list “()” as an “end-of-list” marker.



# Special (Primitive) Functions

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- **eq?**: identity on names (atoms)
- **null?**: is list empty?
- **car**: select first element of the list  
(contents of address part of register)
- **cdr**: select rest of the list  
(contents of decrement part of register)
- **(cons element list)**: constructs lists by adding **element** to the front of **list**
- **quote or ':** produces constants



*Do not evaluate the ' the content after '. Treat them as list of literals.*

# Special (Primitive) Functions

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- '() is an empty list
- (car '(a b c)) = a
- (car '((a) b (c d))) = ( a )
- (cdr '(a b c)) = (b c)
- (cdr '((a) b (c d))) = (b (c d))

# Special (Primitive) Functions

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- **car** and **cdr** can break up any list:

$(\text{car } (\text{cdr } (\text{cdr } '((a) b (c d)))))) = (c d)$

$(\text{cdr } '((a) b (c d))) = (b (c d))$

- **cons** can construct any list:

$(\text{cons } 'a '()) = (a)$

$(\text{cons } 'd '(e)) = (d e)$

$(\text{cons } '(a b) '(c d)) = ((a b) c d)$

$(\text{cons } '(a b c) '((a) b)) = ((a b c) (a) b)$

# Other Functions

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- $+$ ,  $-$ ,  $*$ ,  $/$  numeric operators, e.g.,
  - $(+ 5 3) = 8$ ,  $(- 5 3) = 2$
  - $(* 5 3) = 15$ ,  $(/ 5 3) = 1.66666666$
- $=$   $<$   $>$  comparisons for numbers
- Explicit type determination and type functions:
  - ⇒ All return Boolean values:  $\#f$  and  $\#t$
  - $(\text{number? } 5)$  evaluates to  $\#t$
  - $(\text{zero? } 0)$  evaluates to  $\#t$
  - $(\text{symbol? 'sam})$  evaluates to  $\#t$
  - $(\text{list? '(a b)})$  evaluates to  $\#t$
  - $(\text{null? '()})$  evaluates to  $\#t$

Note: SCHEME is a *strongly typed language*.

# Other Functions

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- `(number? 'sam)` evaluates to `#f`
- `(null? '(a) )` evaluates to `#f`
- `(zero? (- 3 3) )` evaluates to `#t`
- `(zero? '(- 3 3))`  $\Rightarrow$  type error
- `(list? (+ 3 4))` evaluates to `#f`
- `(list? '(+ 3 4))` evaluates to `#t`

# READ-EVAL-PRINT Loop

The Scheme interpreters on the ilab machines are called *mzscheme*, *racket*, and *DrRacket*. “*drracket*” is an interactive environment, the others are command-line based.

For example: Type *racket*, and you are in the READ-EVAL PRINT loop. Use “*Control D*” to exit the interpreter.

```
zz124@vi ~> racket
Welcome to Racket v6.5.
> (define length
  (lambda (x)
    (if (null? x)
        0
        (+ 1 (length (rest x))))))
>
(length '(A LIST OF 6 THINGS))
5
> █
```

# READ-EVAL-PRINT Loop

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The Scheme interpreters on the ilab machines are called *mzscheme*, *racket*, and *drracket*. “drracket” is an interactive environment, the others are command-line based.

**READ:** Read input from user:

A function application

**EVAL:** Evaluate input:

(f arg<sub>1</sub> arg<sub>2</sub> ... arg<sub>n</sub>)

1. evaluate function to a function value

2. evaluate each arg<sub>i</sub> in order to obtain its value

3. apply function value to these values

**PRINT:** Print resulting value:

The result of function application

You can write your Scheme program in file <name>.rkts and then read it into the Scheme interpreter by saying at the interpreter prompt:

```
(load "<name>.rkts")
```

# READ-EVAL-PRINT Loop Example

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> (cons 'a (cons 'b '(c d)))  
(a b c d)

1. Read the function application  
(cons 'a (cons 'b '(c d)))
2. Evaluate **cons** to obtain a function
3. Evaluate 'a to obtain a itself
4. Evaluate (cons 'b '(c d))
  - (i) Evaluate **cons** to obtain a function
  - (ii) Evaluate 'b to obtain b itself
  - (iii) Evaluate '(c d) to obtain (c d) itself
  - (iv) Apply **cons** function to b and (c d) to obtain (b c d)
5. Apply **cons** function to 'a and (b c d) to obtain (a b c d)
6. Print the result of the application: (a b c d)

# Defining Global Variables

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The **define** constructs extends the current interpreter environment by the new defined (name, value) association

```
> (define foo '(a b c))  
#<unspecified>
```

```
> (define bar '(d e f))  
#<unspecified>
```

```
> (append foo bar)  
(a b c d e f)
```

```
> (cons foo bar)  
((a b c) d e f)
```

```
> (cons 'foo bar)  
(foo d e f)
```

# Defining Scheme Functions

**(define <fcn-name> (lambda (<fcn-params>) <expression>))**

Example: Given function **pair?** (true for non-empty lists, false o/w) and function **not** (boolean negation):

Evaluating (**atom? 'a**):

1. Obtain function value for **atom?**
2. Evaluate **'a** obtaining **a**
3. Evaluate (**not (pair? object)**)
  - a) Obtain function value for **not**
  - b) Evaluate (**pair? object**)
    - i. Obtain function value for **pair?**
    - ii. Evaluate object obtaining **a**
    - iii. Evaluates to #t
  - c) Evaluates to #f
4. Evaluates to #f

```
(define atom?  
  (lambda (object)  
    (not (pair? object))  
  )  
)
```

# Conditional Execution: if

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**(if <condition> <result1> <result2>)**

1. Evaluate <condition>
2. If the result is a “true value” (i.e., anything but #f), then evaluate and return <result1>
3. Otherwise, evaluate and return <result2>

```
(define abs-val
  (lambda (x)
    (if (>= x 0) x (- x) )
  )
)
```

```
(define rest-if-first
  (lambda (e l)
    (if (eq? e (car l)) (cdr l) '() )
  )
)
```

# Conditional Execution: cond

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```
(cond (<condition1> <result1>)  
      (<condition2> <result2>)  
      ...  
      (<conditionN> <resultN>)  
      (else <else-result>)) ; optional else clause
```

1. Evaluate conditions in order until obtaining one that returns a #t value
2. Evaluate and return the corresponding result
3. If none of the conditions returns a true value, evaluate and return <else-result>

# Conditional Execution: cond

```
(define rest-if-first
  (lambda (e l)
    (cond ( (null? l) '() )
          ( (eq? e (car l) ) ( cdr l) )
          (else '() )
        )
  )
)
```

← Example 1

Example 2 →

```
(define abs-val
  (lambda ( x )
    ( cond ( ( >= x 0 ) x )
          ( else ( - x ) )
        )
  )
)
```

# Recursive Scheme Functions: abs-List

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```
(define abs-list
  (lambda (l)
    (if (null? l) '()
        (cons (abs (car l)) (abs-list (cdr l))))
  )
)
```

- $(\text{abs-list } '(1 -2 -3 4 0)) \Rightarrow (1 2 3 4 0)$
- $(\text{abs-list } '()) \Rightarrow ()$

# Recursive Scheme Functions: Append

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- $(\text{append } '(1\ 2) '(3\ 4\ 5)) \Rightarrow (1\ 2\ 3\ 4\ 5)$
- $(\text{append } '(1\ 2) '(3\ (4)\ 5)) \Rightarrow (1\ 2\ 3\ (4)\ 5)$
- $(\text{append } '() '(1\ 4\ 5)) \Rightarrow (1\ 4\ 5)$
- $(\text{append } '(1\ 4\ 5) '()) \Rightarrow (1\ 4\ 5)$
- $(\text{append } '() '()) \Rightarrow ()$

```
(define append
  (lambda (x y)
    (cond ((null? x) y)
          ((null? y) x)
          (else (cons (car x) (append (cdr x) y))))
  )
)
```

# Next Lecture

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Things to do:

- Read Scott, Chapter 9.1 - 9.3 (4th Edition) or Chapter 8.1 - 8.3 (3rd Edition), Chapter 11.1 - 11.3 (4th Edition) or Chapter 10.1 - 10.3 (3rd Edition)