

# CS 314 Principles of Programming Languages

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## Lecture 14: Names, Scopes and Bindings

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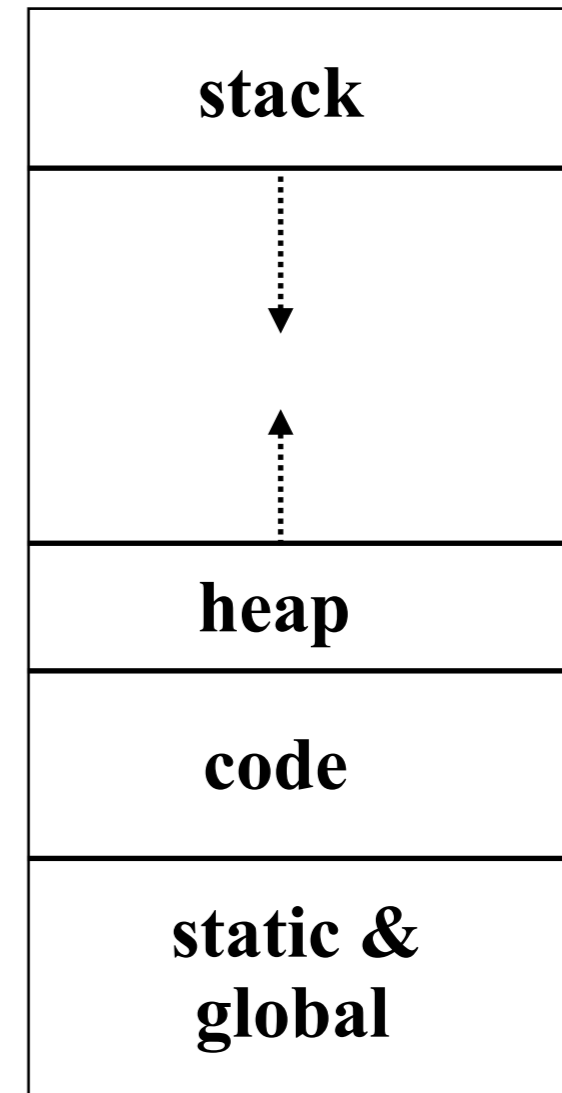
*Rutgers University*

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# Review: Program Memory Layout

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- Static objects are given an absolute address that is retained throughout the execution of the program
- Stack objects are allocated and deallocated in last-in, first-out order, usually in conjunction with subroutine calls and returns
- Heap objects are allocated and deallocated at any arbitrary time



# Review: Procedure Activations

- Begins when control enters activation (call)
- Ends when control returns from call

Calling chain:  $A \Rightarrow B \Rightarrow B \Rightarrow C \Rightarrow D$

Example:

*procedure C:*

D

*procedure B:*

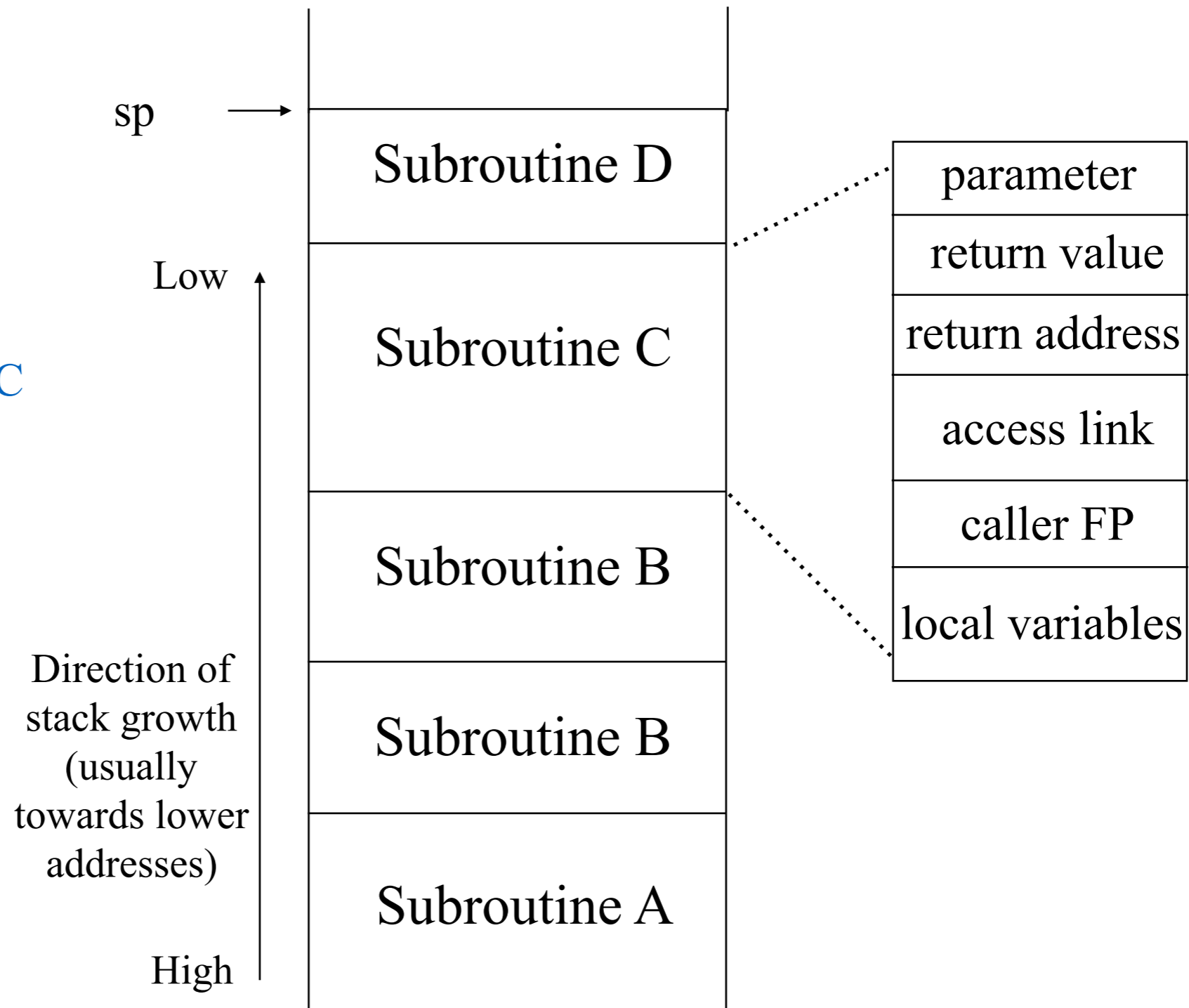
if...then B else C

*procedure A:*

B

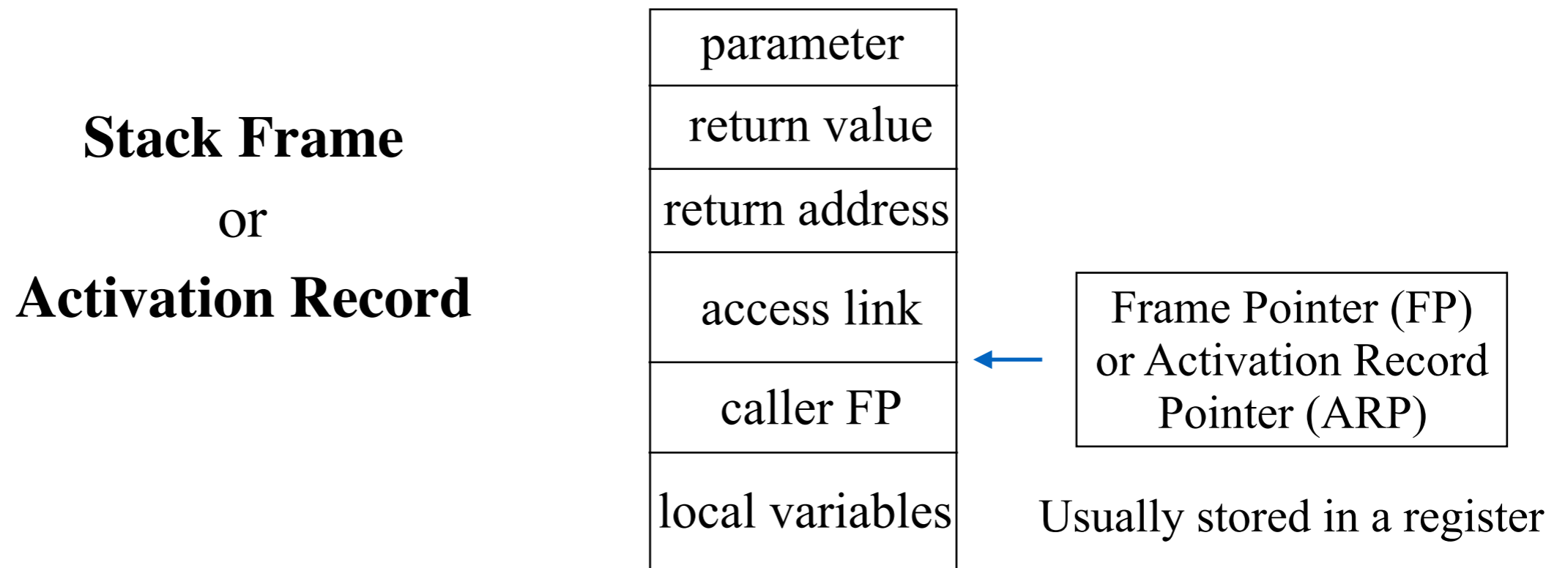
*main program:*

A



# Review: Procedure Activations

- Run-time stack contains frames from main program & active procedure
- Each **stack frame** includes:
  1. Pointer to stack frame of caller  
(**control link** for stack maintenance and dynamic scoping)
  2. Return address (within calling procedure)
  3. Mechanism to find non-local variables (**access link** for lexical scoping)
  4. Storage for parameters, local variables and final values
  5. Other temporaries including intermediate values & saved register



# Lexical Scope v.s. Dynamic Scope

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## Lexical Scope

- Non-local variables are associated with declarations at *compile* time
- Find the smallest block *syntactically* enclosing the reference and containing a declaration of the variable

## Dynamic Scope

- Non-local variables are associated with declarations at *run* time
- Find the *most recent, currently* active run-time stack frame containing a declaration of the variable

# Lexical Scope

- Non-local variables are associated with declarations at *compile* time
- Find the smallest block syntactically enclosing the reference and containing a declaration of the variable

- Example:

- The reference to *n* in *W* is associated with two different declarations at two different times
- The output is?

L

Calling Chain:

$L \Rightarrow W$

$L \Rightarrow D \Rightarrow W$

```
program L;  
    var n: char; {n declared in L}  
    procedure W;  
    begin  
        write (n); {n referenced in W}  
    end;  
    procedure D;  
        var n: char; {n declared in D}  
    begin  
        n := 'D'; {n referenced in D}  
        W  
    end;  
begin  
    n := 'L'; {n referenced in L}  
    W;  
    D  
end
```

# Lexical Scope

- Non-local variables are associated with declarations at *compile* time
- Find the smallest block syntactically enclosing the reference and containing a declaration of the variable

- Example:

- The reference to *n* in *W* is associated with two different declarations at two different times
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Calling Chain:

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  var n: char; {n declared in L}
  procedure W;
  begin
    write (n); {n referenced in W}
  end;
  procedure D;
  var n: char; {n declared in D}
  begin
    n := 'D'; {n referenced in D}
    W
  end;
begin
  n := 'L'; {n referenced in L}
  W;
  D
end
```

# Dynamic Scope

- Non-local variables are associated with declarations at *run* time
- Find the most recent, currently active run-time stack frame containing a declaration of the variable

- Example:

- The reference to *n* in *W* is associated with two different declarations at two different times
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Calling Chain:

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    begin
        write (n); {n referenced in W}
    end;
    procedure D;
        var n: char; {n declared in D}
    begin
        n := 'D'; {n referenced in D}
        W
    end;
begin
    n := 'L'; {n referenced in L}
    W;
    D
end
```

# Dynamic Scope

- Non-local variables are associated with declarations at *run* time
- Find the most recent, currently active run-time stack frame containing a declaration of the variable

- Example:

- The reference to *n* in *W* is associated with two different declarations at two different times
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Calling Chain:

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    begin  
        write (n); {n referenced in W}  
    end;  
    procedure D;  
        var n: char; {n declared in D}  
    begin  
        n := 'D'; {n referenced in D}  
        W  
    end;  
begin  
    n := 'L'; {n referenced in L}  
    W;  
    D  
end
```

# Lexical Scope v.s. Dynamic Scope

---

## Lexical Scope

- Non-local variables are associated with declarations at *compile* time
- Find the smallest block *syntactically* enclosing the reference and containing a declaration of the variable
- Access link points to the most recently activated immediate lexical ancestor

## Dynamic Scope

- Non-local variables are associated with declarations at *run* time
- Find the *most recent, currently* active run-time stack frame containing a declaration of the variable
- Control link points to the caller

# Lexical Scoping and Dynamic Scoping Example

Calling chain: MAIN  $\Rightarrow$  C  $\Rightarrow$  B  $\Rightarrow$  B

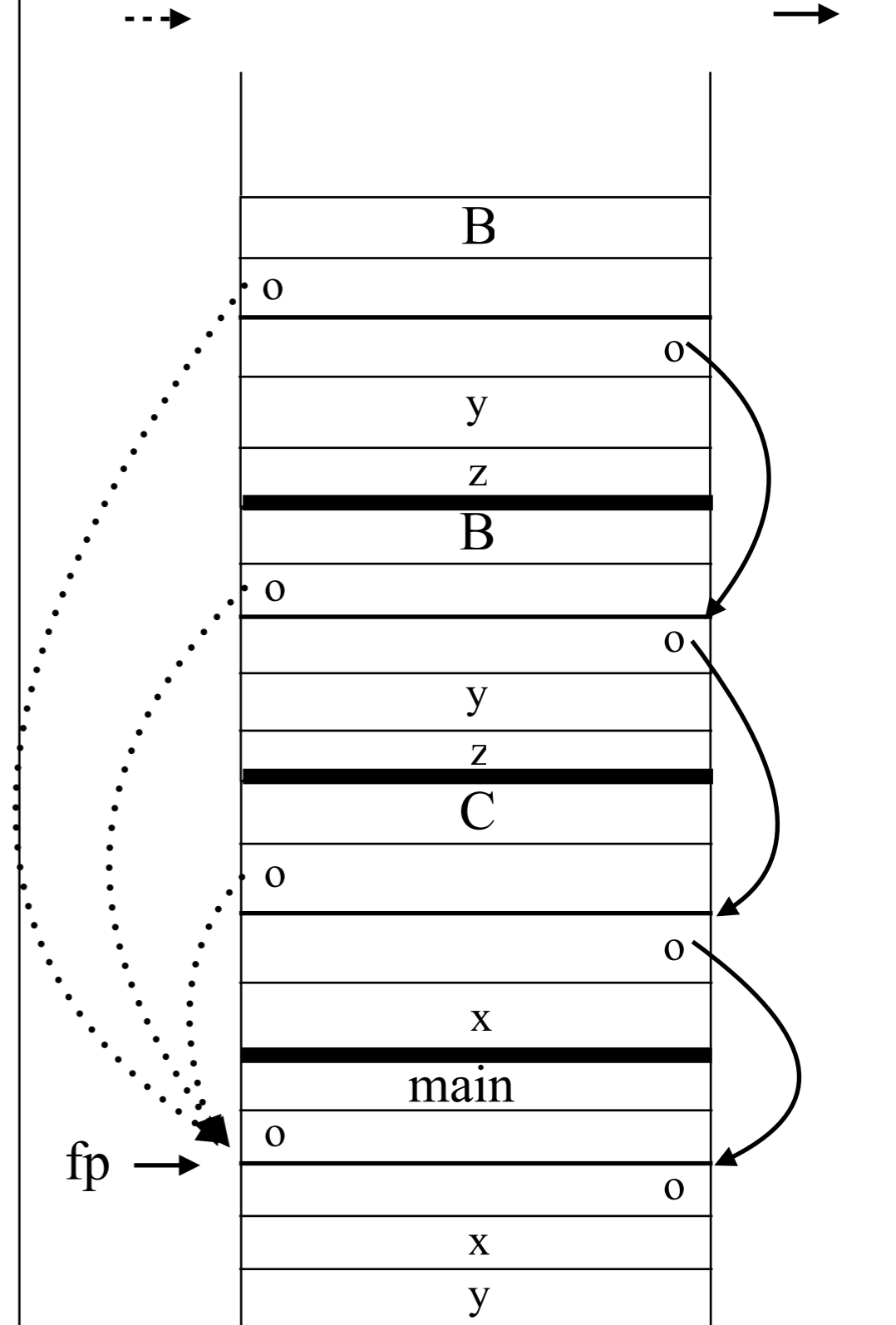
Program

```

x, y: integer // declarations of x and y
Procedure B // declaration of B
  y, z: real // declaration of y and z
  begin
  ...
  y = x + z // occurrences of y, x, and z
  if (...) call B // occurrence of B
  end
Procedure C // declaration of C
  x: real
  begin
  ...
  call B // occurrence of B
  end
begin
  ...
  call C // occurrence of C
  call B // occurrence of B
end
end
    
```

Access links

Control links



# Context of Procedures

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## Two contexts

- *static* placement in source code (same for each invocation)
- *dynamic* run-time stack context (different for each invocation)

## Scope Rules:

Each variable reference must be associated with a single declaration.

Two choices:

1. Use static and dynamic context: *lexical scope*
2. Use dynamic context: *dynamic scope*
  - Easy for variables declared locally, and same for *lexical* and *dynamic* scoping
  - Harder for variables not declared locally and not same for *lexical* and *dynamic* scoping

# Access to Non-Local Data(Lexical Scoping)

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Using access link:

Runtime: To find the value specified by  $(l, o)$

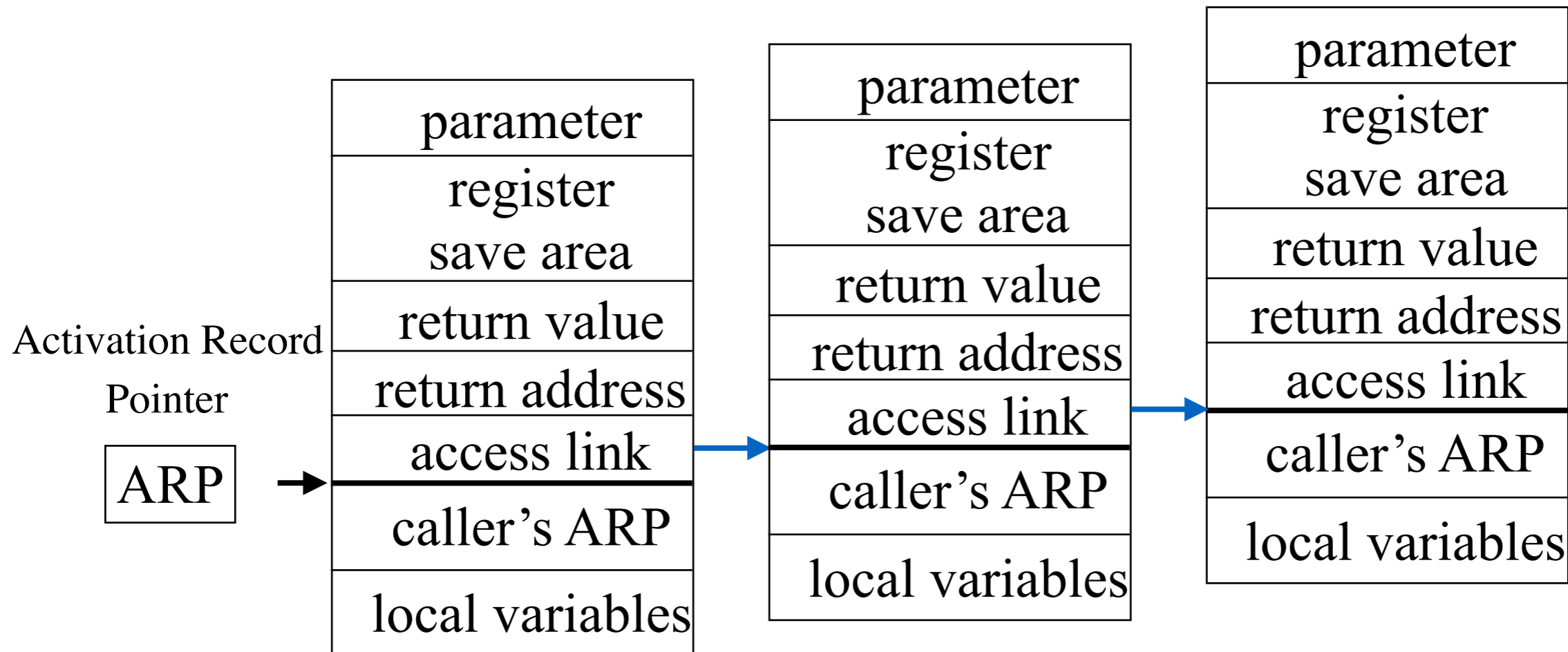
Assume nested procedure has higher index than its parent procedure.

- Need current procedure level  $k$
- If  $k = l$ , it is a local variable
- If  $k > l$ , must find  $l$ 's activation record  
⇒ follow  $k - l$  access link
- $k < l$  cannot occur

# Access to Non-Local Data(Lexical Scoping): Access Link

Using **access links** (static links)

- Each AR has a pointer to most recent AR of immediate lexical ancestor
- Lexical ancestor does not need to be the caller



Example: reference to  $\langle p, 16 \rangle$  runs up access link to p

*Cost of access link is proportional to lexical distance*

# Access to Non-Local Data(Lexical Scoping)

---

Using access link:

Runtime: To find the value specified by  $(l, o)$

Assume nested procedure has higher index than its parent procedure.

- Need current procedure level  $k$
- If  $k = l$ , it is a local variable
- If  $k > l$ , must find  $l$ 's activation record  
⇒ follow  $k - l$  access link

- $k < l$  cannot occur

# Maintaining Access Links

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## Setting up access link:

If procedure  $p$  is nested immediately within procedure  $q$ , the access link for  $p$  points to the activation record of the *most recent* activation of  $q$ .

- Calling level  $k + 1$  procedure
  1. Pass my FP as access link
  2. My backward chain will work for lower levels
- Calling procedure at level  $i \leq k$ 
  1. Find my link to level  $i - 1$  and pass it to callee
  2. Its access link will work for lower levels

# Code Generation: Access to Non-Local Data(Lexical Scoping)

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Two important steps

1. *Compile-time*: How do we map a name into a (level, offset) pair?

We use a block structured symbol table (**compile time**)

- when we look up a name, we want to get the most recent declaration for the name
- the declaration may be found in the current procedure or in any ancestor procedure

2. *Run-time*: Given a (level, offset) pair, what's the address?

- Two classical approaches:
  - ⇒ access link (*static link*)
  - ⇒ display

# Lexical Scoping

Symbol table generated at compile time matches declarations and occurrences.

⇒ Each name can be represented as a pair (nesting\_level, local\_index).

Program

```
x, y: integer // declarations of x and y
Procedure B // declaration of B
  y, z: real // declaration of y and z
  begin
    ...
    y = x + z // occurrences of y, x, and z
    if (...) call B // occurrence of B
  end
Procedure C // declaration of C
  x: real
  begin
    ...
    call B // occurrence of B
  end
begin
  ...
  call C // occurrence of C
  call B // occurrence of B
end
```



Program

```
(1,1), (1,2): integer // declarations of x and y
Procedure (1,3) // declaration of B
  (2,1), (2,2): real // declaration of y and z
  begin
    ...
    (2,1) = (1,1) + (2,2) // occurrences of y, x, and z
    if (...) call (1,3) // occurrence of B
  end
Procedure (1,4) // declaration of C
  (2,1): real
  begin
    ...
    call (1,3) // occurrence of B
  end
begin
  ...
  call (1,4) // occurrence of C
  call (1,3) // occurrence of B
end
```

# The Display

---

To improve run-time access costs, use a *display*.

- table of access links for lower levels
- lookup is index from known offset
- takes slight amount of time at call
- a single display or one per frame

Access with the display

*assume a value described by (l,o)*

- find slot as  $DP[l]$  in display pointer array
- add offset to pointer from slot

“Setting up the activation frame” now includes display manipulation.

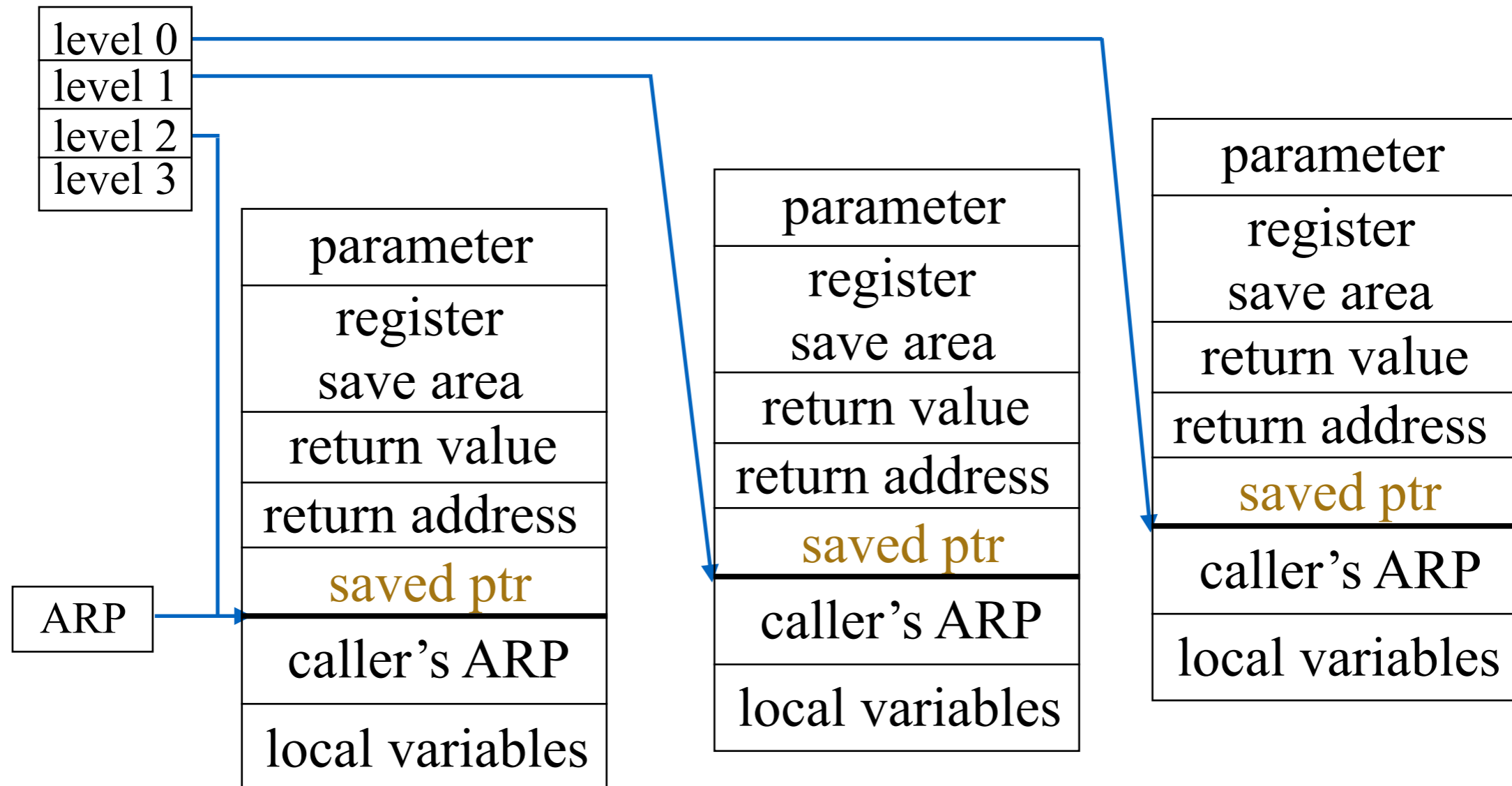
# Access to Non - Local Data(Lexical Scoping): Display

Using a display

Cost of access link is constant ( $APR + offset$ )

- Global arrays of pointers to nameable array
- Needed ARP is an array access away

## Display



Example: reference to  $\langle p, 16 \rangle$  looks up p's APR in display and add 16

# Display Management

---

Single global display:

*On entry to a procedure at level i:*

Save the level i display value  
push FP into level i display slot

*On return:*

Restore the level i display value

|                       |
|-----------------------|
| parameter             |
| register<br>save area |
| return value          |
| return address        |
| saved ptr             |
| caller's ARP          |
| local variables       |

# Procedures

---

- Modularize program structure
  - Actual parameter: information passed from caller to callee (*Argument*)
  - Formal parameter: local variable whose value (usually) is received from caller
- Procedure declaration
  - Procedure names, formal parameters, procedure body with formal local declarations and statement lists, optional result type

Example: void translate(point \*p, int dx)

# Parameters

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## Parameter Association

- Positional association: Arguments associated with formals one-by-one;  
Example: C, Pascal, Java, Scheme
- Keyword association: formal/actual pairs; mix of positional and keyword possible;  
Example: Ada

```
procedure plot(x, y: in real; z: in boolean)
```

```
... plot (0.0, 0.0, z    => true)
```

```
... plot (z => true, x => 0.0, y => 0.0)
```

## Parameter Passing Modes

- Pass-by-value: C/C++, Pascal, Java/C# (value types), Scheme
- Pass-by-result: Ada, Algol W
- Pass-by-value-result: Ada, Swift
- Pass-by-reference: Fortran, Pascal, C++, Ruby, ML

# Pass-by-value

---

```
begin
  c: array[1...10] of integer;
  m, n: integer;
  procedure r(k, j: integer)
  begin
    k := k + 1;
    j := j + 2;
  end r;

  ...
  m := 5;
  n := 3;
  r(m, n);
  write m,n;
end
```

Output:

5 3

Advantage: Argument protected from changes in callee.  
Disadvantage: Copying of values takes execution time and space, especially for aggregate values (e.g.: structs, arrays)

# Pass-by-reference

---

```
begin
  c: array[1..10] of integer;
  m, n: integer;
  procedure r(k,j: integer)
  begin
    k := k + 1;
    j := j + 2;
  end r;
  ...
  m := 5;
  n := 3;
  r(m, n);
  write m, n;
end
```

Output:

6 5

Advantage: more efficient than copying

Disadvantage: leads to aliasing, there are two or more names for the storage location; hard to track side effects

# Pass-by-result

---

**begin**

**c: array[1..10] of integer;**

**m, n: integer;**

**procedure r(k, j: integer)**

**begin**

**k := k + 1; → ERROR:**

**j := j + 2;**

**CANNOT USE PARAMETERS WHICH ARE UNINITIALIZED**

**end r;**

**...**

**m := 5;**

**n := 3;**

**r(m, n);**

**write m, n;**

**end**

Output:

Program doesn't compile or has runtime error

# Pass-by-result

---

**begin**

**c: array[1..10] of integer;**

**m, n: integer;**

**procedure r(k, j: integer)**

**begin**

**k := 1; → HERE IS A PROGRAM THAT WORKS**

**j := 2;**

**end r;**

**...**

**m := 5;**

**n := 3;**

**r(m, n);**

**write m, n;**

**end**

Output: ?

# Pass-by-result

**begin**

**c: array[1..10] of integer;**

**m, n: integer;**

**procedure r(k, j: integer)**

**begin**

**k := 1; → HERE IS ANOTHER PROGRAM THAT WORKS**

**j := 2;**

**end r;**

**...**

**m := 5;**

**n := 3;**

**r(m, m); → NOTE: CHANGE THE CALL**

**write m, n;**

**end**

Output: 1 or 2 for m?

Problem: order of copy back makes a difference;  
implementation dependent.

# Pass-by-value-result

---

```
begin
  c: array[1..10] of integer;
  m, n: integer;
  procedure r(k, j: integer)
  begin
    k := k + 1;
    j := j + 2;
  end r;
  ...
  m := 5;
  n := 3;
  r(m, n);
  write m, n;
end
```

Output: 6 5

Problem: order of copy back makes a difference;  
implementation dependent.

# Pass-by-value-result

**begin**

**c: array[1...10] of integer;**

**m, n: integer;**

**procedure r(k, j: integer)**

**begin**

**k := k + 1;**

**j := j + 2;**

**end r;**

**...**

**/\* set c[m] = m \*/**

**m := 2;**

**r(m,c[m]);** → WHAT ELEMENT OF "c" IS ASSIGNED TO?

**write c[1], c[2], c[3], ... c[10];**

**end**

Output:

# Pass-by-value-result

```
begin
  c: array[1..10] of integer;
  m, n: integer;
  procedure r(k, j: integer)
  begin
    k := k + 1;
    j := j + 2;
  end r;
  ...
  /* set c[m] = m */
  m := 2;
  r(m,c[m]); → WHAT ELEMENT OF "c" IS ASSIGNED TO?
  write c[1], c[2], c[3], ... c[10];
end
```

Output:

*Problem:* When is the address computed for the copy-back operation? At procedure call (procedure entry), just before procedure exit, or somewhere in between? (Example: ADA on entry)

# Pass-by-value-result

```
begin
  c: array[1..10] of integer;
  m, n: integer;
  procedure r(k, j: integer)
  begin
    k := k + 1;
    j := j + 2;
  end r;
  ...
  /* set c[m] = m */
  m := 2;
  r(m,c[m]); → WHAT ELEMENT OF "c" IS ASSIGNED TO?
  write c[1], c[2], c[3], ... c[10];
end
```

Output:

1 4 3 4 5 ... 10 on entry

1 2 4 4 5 ... 10 on exit

*Problem:* When is the address computed for the copy-back operation?  
At procedure call (procedure entry), just before procedure exit, or  
somewhere in between? (Example: ADA on entry)

# Pass-by-value-result

```
begin
  c: array[1..10] of integer;
  m, n: integer;
  procedure r(k, j: integer)
  begin
    k := k + 1;
    j := j + 2;
  end r;
  ...
  /* set c[m] = m */
  m := 2;
  r(m,c[m]); → WHAT ELEMENT OF "c" IS ASSIGNED TO?
  write c[1], c[2], c[3], ... c[10];
end
```

Output:

1 4 3 4 5 ... 10 on entry

1 2 4 4 5 ... 10 on exit

*Problem:* When is the address computed for the copy-back operation?  
At procedure call (procedure entry), just before procedure exit, or  
somewhere in between? (Example: ADA on entry)

# Aliasing

Aliasing:

More than two ways to name the same object within a scope

Even without pointers, you can have aliasing through (global  $\leftrightarrow$  formal) and (formal  $\leftrightarrow$  formal) parameter passing.

**begin**

**j, k, m: integer;**

**procedure r(a, b: integer)**

**begin**

**b := 3;**

**m := m \* a;**

**end**

**...**

**q(m, k);**  $\rightarrow$  global/formal  $\langle m, a \rangle$  ALIAS PAIR

**q(j, j);**  $\rightarrow$  formal/formal  $\langle a, b \rangle$  ALIAS PAIR

**write y;**

**end**

# Comparison: by-value-result vs. by-reference

Actual parameters need to evaluate to L-values (addresses).

```
begin
  y: integer;
  procedure p(x: integer)
  begin
    x := x + 1   → ref: x and y are ALIASED
    x := x + y   → val-res: x and y are NOT ALIASED
  end
  ...
  y := 2;
  p(y);
  write y;
end
```

Output:

- pass-by-reference: 6
- pass-by-value-result: 5

Note: *by-value-result*: Requires copying of parameter values (expansive for aggregate values); does not have aliasing, but copy-back order dependence.

# Next Lecture

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Things to do:

- Read Scott, Chapter 9.1 - 9.3 (4th Edition) or Chapter 8.1 - 8.3 (3rd Edition), Chapter 11.1 - 11.3 (4th Edition)